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# Optimization of Bio-Impedance Sensor for Enhanced Detection and Characterization of Adherent Cells

Dorielle T. Price

*University of South Florida, dorielle@mail.usf.edu*

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Optimization of Bio-Impedance Sensor for Enhanced Detection and  
Characterization of Adherent Cells

by

Dorielle T. Price

A dissertation submitted in partial fulfillment  
of the requirements for the degree of  
Doctor of Philosophy  
Department of Electrical Engineering  
College of Engineering  
University of South Florida

Co-Major Professor: Shekhar Bhansali, Ph.D.  
Co-Major Professor: Andrew Hoff, Ph.D.  
Mark Jaroszeski, Ph.D.  
Meera Nanjundan, Ph.D.  
Stephen Sadow, Ph.D.  
Shivshankar Sundaram, Ph.D.

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## **Abstract**

This research focuses on the detection and characterization of cells using impedance-based techniques to understand the behavior and response of cells to internal/environmental changes. In combination with impedimetric sensing techniques, the biosensors in this work allow rapid, label-free, quantitative measurements and are very sensitive to changes in environment and cell morphology. The biosensor design and measurement setup is optimized to detect and differentiate cancer cells and healthy (normal) cells. The outcome of this work will provide a foundation for enhanced 3-dimensional tumor analysis and characterization; thus creating an avenue for earlier cancer detection and reduced healthcare costs.

The magnitude of cancer-related deaths is a result of late-diagnosis and the fact that cancer is challenging to treat, due to the non-uniform nature of the tumor. In order to characterize and treat individual cells based on their malignant potential, it is important to have a measurement technique with enhanced spatial resolution and increased sensitivity. This requires the study of individual or small groups of cells that make up the entire tissue mass.

The overall objective of this research is to optimize a microelectrode biosensor and obtain statistically relevant data from a cell culture using an independent multi-electrode design. This would provide a means to explore the feasibility of electrically characterizing cells with greater accuracy and enhanced sensitivity.

## Chapter 1 Introduction

This research focuses on the detection and characterization of cells using impedance-based techniques to understand the behavior and response of cells to internal/environmental changes. The biosensors in this work, unlike the patch clamp technique, use whole cells in culture as the primary transducer to detect a change in environment or physiological conditions. In combination with impedimetric sensing techniques, they allow rapid, label-free, quantitative measurements and are very sensitive to changes in environment and cell morphology. This research optimizes the biosensor design and measurement setup in order to detect and differentiate cancer cells and healthy (normal) cells. The outcome of this work provides a foundation for enhanced 3-dimensional tumor analysis and characterization; thus creating an avenue for earlier cancer detection and reduced healthcare costs.

### 1.1 Motivation

Cancer diagnosis and treatment in healthcare is a major area of concern in the United States today. Billions of dollars are being spent annually on medical research in order to develop devices and strategies to prevent, detect, and/or cure cancer and other illnesses. Annually, nearly 1 in 4 deaths are due to cancer [18, 19]. The four most common cancers include breast (women), prostate (men), lung and bronchus (men and women), and colon and rectum (men and women). The magnitude of cancer-related deaths is a result of late-diagnosis and the fact that cancer is challenging to treat, due to the non-uniform nature of the tumor. All cancer cells do not have equal malignant or invasive potential and thus need to be uniquely treated [20]. In order to characterize and



treat individual cells based on their malignant potential, it is important to have a measurement technique with enhanced spatial resolution and increased sensitivity. This requires the study of individual or small groups of cells that make up the entire tissue mass. This research investigates methods to enhance cancer cell detection and provide fundamental information about cancer cell characteristics, through the design and optimization of a whole-cell biosensor and impedance-based measurement techniques. This research has the potential to advance drug discovery and ultimately lead to implementation of personalized healthcare.

## **1.2 Problem Definition**

Successful development of the impedance biosensor will provide a means to electrically differentiate normal and cancer cells and quantify toxicology studies. Chemotherapeutic drugs, for instance, require extensive characterization and validation before they can be used clinically. This can be a cumbersome task, as many variables are present when determining the effectiveness of a drug, including concentration, time, cell line, and microenvironment. Qualitative methods, such as the use of biomarkers, light microscopy, staining, scanning electron microscopy (SEM), western analysis, are typically used to identify and validate chemotherapeutic drugs. However, such methods are time consuming and labor intensive.

Impedance spectroscopy, as a quantitative measure, can be used as a prerequisite tool to refine or design qualitative experiments by pinpointing specific time frames and drug concentrations; thus removing much of the guess-work and excess experimental trials. Long-term, continuous impedance measurements can capture the reactions of the cells to a stimulant at numerous time points. Thus, when a reaction is observed, qualitative measurements can be performed at that specific time point(s) to probe for

further information. Impedance measurements can also aid in obtaining fundamental information about cellular responses and behaviors.

Repeatability, accuracy, spatial resolution, and high signal-to-noise ratios are required to successfully implement bioimpedance measurements for cell differentiation and toxicology studies. Therefore, this work aims to address these problems through electrode design optimization, designing multi-electrode devices, and automating data collection and analysis for large data sets.

### **1.3 Research Objectives**

The objective of this research is to optimize a microelectrode biosensor and obtain statistically relevant data from a cell culture using an independent multi-electrode design. This would provide a means to explore the feasibility of electrically characterizing cells with greater accuracy and enhanced sensitivity. The specific objectives include:

- a) Investigate the effect of electrode geometry on bioimpedance measurements
- b) Explore methods to reduce measurement parasitic and enhance spatial resolution
- c) Characterize and differentiate normal and abnormal cells

### **1.4 Dissertation Structure**

Chapter 2 of the dissertation provides background information cancer, electromagnetic techniques, cell characterization techniques, impedance spectroscopy theory and modeling, and biological dispersion.

Chapter 3 describes the state-of-the-art and applications of impedance spectroscopy, including a comparison of this work's optimized electrode devices to existing commercial impedance systems.

Chapter 4 details the optimization of a microelectrode sensor design to eliminate the parasitic effects of the passivation coating. A design rule was derived to apply to future microelectrode designs.

Chapter 5 explores the use of the 4-electrode measurement setup to compare measurement sensitivity between the 2- and 4-electrode configurations in a microfluidic system.

Chapter 6 describes the optimized 8-electrode device and measurements performed on ovarian cancer cells.

In chapter 7, the commercial ECIS instrument was used to compare healthy and cancer ovarian cells, and validate measurements using the 8-electrode device.

## Chapter 2 Background

The word cancer has been used by some researchers to describe both benign and malignant tumors; others have reserved the word specifically for malignant tumors [21]. In this research, the term 'cancer' will refer to malignant tumors and the term 'abnormal' will generally refer to both benign and malignant tumors. A tumor is defined as a mass of cells. Benign tumors do not invade local tissues and are generally harmless. Malignant tumors invade adjacent tissues and can spread throughout the body, causing deterioration or death. In general, tumor masses are less organized and structured than normal tissues. There are various degrees of abnormality of cells within tumors. Slightly abnormal tumors can contain only an excessive amount of cells, whereas in more abnormal tumors, the cells take on an irregular appearance. These cytological changes may include variability in the nuclear size, increased mitotic activity, and lack of cytoplasmic features [21]. Morphological changes in cells can be detected through impedance measurements, as changes in the structural features alter or restrict the path of the applied electrical signal. These structural changes in individual cells have a major impact on the tumor mass as a whole. In order to understand the functionality of a more complex tumor mass, small groups of cells will first be studied and characterized separately in this research.

### 2.1 Electromagnetic Techniques

The biosensor used in this work is a form of electromagnetic sensor. Medical imaging using electromagnetic waves, including X-rays and magnetic resonance imaging (MRI), has been traditionally used for many decades to detect abnormalities in

tissues. The first medical use of X-rays occurred in 1896 [22] and over a century later, X-ray mammography is currently the standard screening technique for breast cancer. These techniques are well-established and are conducted across a wide frequency range. MRIs are performed between a few to hundreds of megahertz and X-rays are performed within  $10^{16}$  and  $10^{19}$  Hz. Electrical impedance tomography (EIT) and microwave imaging (MI) are newer technologies that are still in their trial stages. Figure 2-1 shows some of the most common electromagnetic medical imaging techniques and the frequency ranges in which they operate. This research expands on the existing technologies by increasing the traditionally used frequency spectrum and determining if additional information can be obtained to complement the existing techniques.

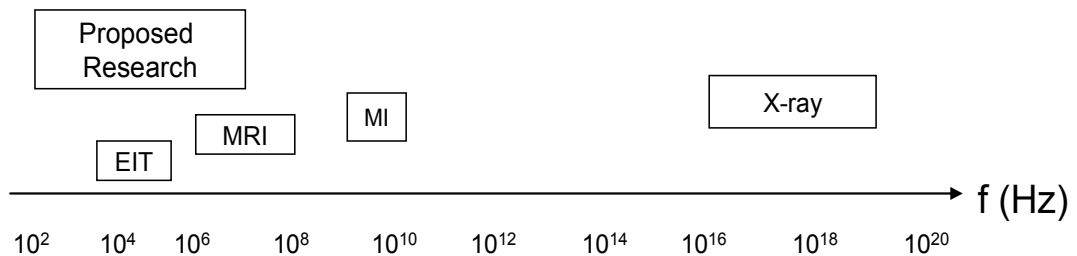


Figure 2-1: Frequency spectrum of electromagnetic medical imaging techniques

X-ray, MRI, EIT, and MI are all techniques used to image bone density, soft tissues, and/or organs. This research, however, begins with the study and characterization of cells, the foundation of these more complex structures.

Impedance spectroscopy is not the only known method of characterizing cells in culture. There are many existing methods that are used to study and characterize cell cultures, including but not limited to the use of electrochemistry/biomarkers, microscopy and fluorescence.

## 2.2 Cell Characterization Techniques

Conventional methods used in cancer cell biology include fluorescent imaging and radioactive detection; however, these techniques are costly, labor-intensive, and unable to provide continuous monitoring [23]. Optical microscopy is also standard practice used by pathologists or clinicians trained to identify suspicious regions or morphologies. Although reliable, this technique is qualitative and highly dependent on the expertise and experience of the clinician. In addition, such human resources are not always readily available, especially in areas with limited healthcare resources. Although these techniques are well-studied and widely used, they do not fit the criteria of being cost efficient, automated, and objective, as does the proposed sensor.

Biomarkers are indicators of normal biological processes, pathogenic processes, or pharmacologic responses; and they can be used for classification and staging of diseases, monitoring of clinical responses, and as diagnostic tools [24]. Biomarkers are typically associated with proteomics and genomics, the large-scale study of proteins and DNA/RNA respectively. Despite their great potential, only a few biomarkers are being used clinically due to the long and difficult path from discovery to clinical assay. Successful biomarker detection requires high sensitivity and high specificity, and the use of several biomarkers is often required to obtain such standards. Small sample sizes also make it probable that many protein biomarker candidates will be false positives [25].

Generally, the methods employed to study cellular properties could be classified into three major categories: microscopy/optical, biochemical, and electrochemical methods. In the abovementioned microscopic and biochemical methods, the protocols considered are known as endpoint assays. They provide a one-time analysis about a cell system at the end of an observation point. They require pre-labeling, post-labeling, and fixation. Optical techniques are limited by the use of expensive and bulky equipment that is not

suitable for miniaturized, low-cost, automated systems [26]. In cases where the sample needs to be modified in order to obtain measurements, unknown, adverse effects could be imposed on the cells.

Alternatively, electrochemical sensors are inexpensive, sensitive, simple, and easily miniaturized. An electrochemical biosensor converts a biological recognition event to an electrical signal. Two of the most commonly used transducers are amperometric and potentiometric [27]. Amperometric biosensors apply a constant potential and monitor the current associated with the reduction and oxidation processes. They have been used to monitor and detect oxygen, glucose, nitric acid, adenosine triphosphate (ATP), and many more such analytes. However, lack of selectivity is a common disadvantage of amperometric cell biosensors [28]. Potentiometric biosensors convert the biorecognition process into a change in potential signal as a result of due to ion accumulation or depletion at the electrode surface [27, 28]. A limitation of this type of transducer is that it requires a very stable reference electrode [28].

Another electrochemical technique, normally placed in a category of its own, is electrochemical impedance spectroscopy (EIS). It can be separated into 2 different categories: non-faradaic and faradaic. Non-faradaic EIS describes a system in which a small AC signal is applied at equilibrium. The response is linear, and no charge-transfer reactions occur within the electrochemical system. A common *non-faradaic* EIS technique is Electrical cell-substrate impedance spectroscopy/sensing (ECIS), which will be discussed later in further detail. In *faradaic* EIS, electrons are transferred across the metal-solution interface, causing oxidation and reduction to occur. The cells create a barrier between the redox probe and the electrode surface, thus increasing the electron transfer resistance ( $R_{et}$ ), which is related to the number of cells present (or change in

number of cells due to proliferation or apoptosis) and changes to the electrode surface [28]. Faradaic EIS is a simple method for continuous monitoring of cell numbers; however, the recognition complex often leads to minute or undetectable changes in interface impedance, resulting in reduced reproducibility [28].

Non-faradaic impedance spectroscopy was chosen for this research due to its sensitivity, simplicity, cost-efficiency, and non-invasive properties, with a wide-range of applications (i.e. monitor cellular viability, morphology, adhesion, cell number, proliferation, apoptosis, and screening of medicinal compounds in drug development). Impedance spectroscopy may be combined with the abovementioned techniques to improve selectivity and sensitivity. Throughout the remainder of this text, the term impedance spectroscopy or sensing (IS) will refer to non-faradaic EIS, unless otherwise specified.

### **2.3 Impedimetric Measurement Theory**

Electrical impedance measurement technique has the following advantages with respect to cell measurements: (1) small, non-destructive applied voltage signals, (2) ability to provide quantitative information about cell morphology, motility, attachment and spreading, mitosis, and apoptosis, and (3) sensitive measurements in a label-free and mediator-free environment.

This research implements the use of impedance sensing due to the above mentioned advantages, as well as (1) the ease of incorporation into a portable system, (2) the potential to be cost efficient, and (3) the ability to automate long-term measurements and data analysis. EIS can be used to characterize biological cells over a wide range of frequencies. Information about cell morphology, adhesion, and movement is obtained at low- to mid-range frequencies since most of the current flows



around the dielectric cell membrane. At higher frequencies, the current penetrates the membrane and provides information about the cell interior. This research implements the use of impedance spectroscopy due to the above mentioned advantages, as well as the ease of incorporation into a portable system, the potential to be cost efficient, and the ability to automate long-term measurements and data analysis.

Microfabricated electrodes have properties which are useful for a wide range of applications. The use of microelectrodes would improve the signal to noise ratio, with minimal loss of sensitivity. An electrode array would impart the required sensitivity as well as amplify any discernible electrical response. Such a platform could be easily tailored to offer adequate isolation of cells and their immediate environment, which reduces background biological noise and interference. Implementation of microelectrodes would facilitate sensitive detection of cellular responses to either external or internal stimuli.

The impedance data can be used to differentiate between cells without the need for cell markers, which can adversely modify the cells. This is a major advantage of IS; thus eliminating the need for pre- and post-processing of cells and providing rapid, quantitative analysis.

Bioimpedance measurements are performed with small applied signals to (1) realize non-invasive measurements of biological cells/tissues and (2) confine measurements within a pseudo-linear region [29].

### **2.3.1 Visualization and Equivalent Circuit Analysis**

Once the impedance spectroscopy data is collected, it can be visualized in several ways. One of the most common forms of presentation is the bode plot of the impedance

Figure 2-2. In a bode plot, the magnitude of the impedance and phase is plotted as a function of frequency on a log scale. The bode plot explicitly shows the frequency at which each data point was taken. This form of data presentation can be used to extract the parameters of the measured system, such as solution resistance, electrode polarization impedance, and cell resistance and capacitance. A slope in the bode magnitude diagram accompanied by a change of phase in the bode-phase plot is referred to as a dispersion. Figure 2-2 illustrates an electrode system with and without the presence of cells. When there are no cells present, a single dispersion is seen; and when cells are present on the electrode surface, a second dispersion develops, relevant to cellular characteristics. In the absence of cells (electrode-electrolyte system), the low-frequency slope of the bode magnitude plot is representative of the electrode polarization impedance (or double layer capacitance); whereas the mid- to high-frequency plateau corresponds to the solution resistance. In this simulation, the double layer capacitance value is 35 nF and the solution resistance is 1.5 k $\Omega$ . At low frequencies, the phase is  $-90^\circ$ , thus signifying a capacitive (double layer) component. The phase approaches  $0^\circ$  as the frequency increases, thereby denoting a transition to a resistive component. When cells are present in the system, there is a noticeable change in the mid-frequency range of the bode plot, as seen in Figure 2-2. The simulated cell layer capacitance and resistance values are 5 nF and 20 k $\Omega$  respectively. Parameters from these systems can be extracted using equivalent circuit models and complex nonlinear least squares (CNLS) fitting. The equivalent circuits for the systems with and without cells are shown in Figure 2-3.

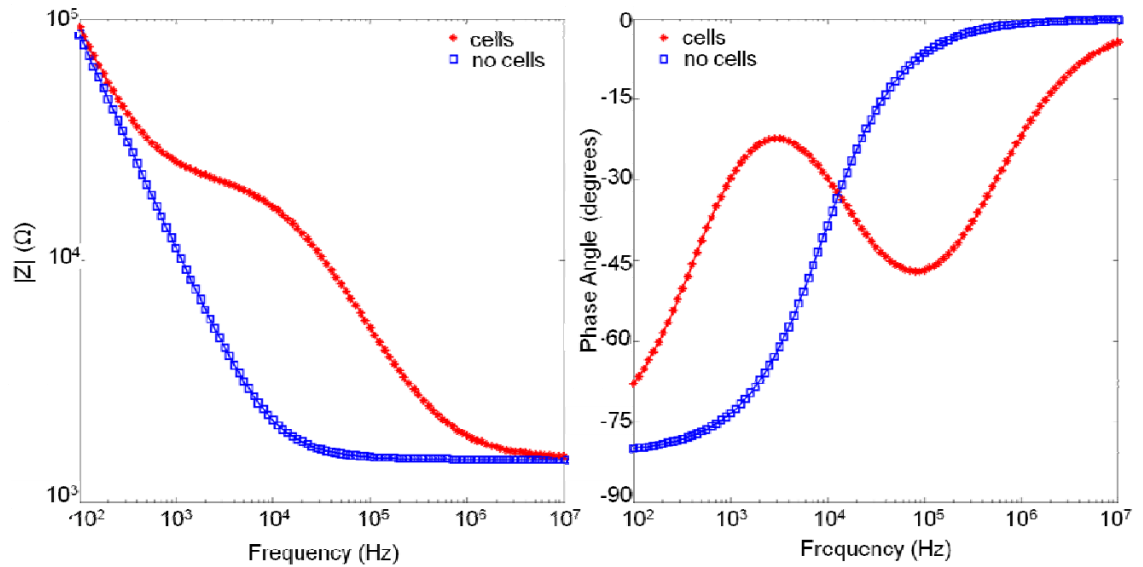


Figure 2-2: Bode plot simulating an electrode-electrolyte system and electrode-cells-electrolyte system

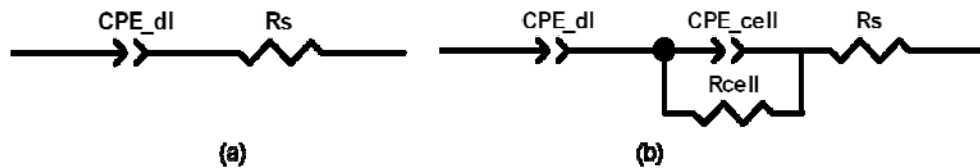


Figure 2-3: Equivalent circuits for systems (a) without cells and (b) with adherent cells

### 2.3.2 Constant-Phase Element (CPE)

The double layer capacitance at the electrode/electrolyte interface is not well-defined by an ideal, simple capacitor. A frequency dispersion exists at the interface; and therefore, capacitance is expressed as a constant-phase element (CPE). The CPE takes into account non-ideal properties such as surface roughness and heterogeneities, electrode porosity, coating composition, slow adsorption reactions, non-uniform potential and current distributions [30].

Equation 2-1 expresses the impedance of an ideal capacitor, as compared to Equation 2-2, which expresses the impedance of a CPE.

$$Z_C = \frac{1}{j\omega C} \quad \text{Equation 2-1}$$

$$Z_{CPE} = \frac{1}{Y(j\omega)^n} \quad \text{Equation 2-2}$$

where  $j = \sqrt{-1}$ ,  $\omega = 2\pi f$ ,  $C$  is the ideal capacitance,  $Y$  is the CPE, and  $n$  is a factor between 0 and 1. When  $n = 1$ ,  $Z_{CPE} = Z_C$ . The phase angle of  $Z_{CPE}$  is equal to  $-90^\circ n$  in degrees.

### 2.3.3 Complex Non-Linear Least Squares Fitting (CNLS)

CNLS is a technique used to simultaneously fit data to a model (mathematical or equivalent circuit) of unknown parameters; and yields parameter estimates associated with the data. Advantages of this technique are that it provides uncertainty estimates to declare the “goodness of fit” of the estimated parameters; and very complex models with greater than 10 unknown parameters can be fit using this technique [31].

In CNLS, the sum of squares,  $SS$ , is minimized:

$$SS = \sum (f_{\text{exp}} - f_{\text{th}})^2 \quad \text{Equation 2-3}$$

where  $f_{\text{exp}}$  is the experimental data and  $f_{\text{th}}$  is the theoretical model data, both as a function of frequency.

For a complex (impedance) data set,

$$SS = \sum_{i=1}^n \left\{ (Z'_{i,\text{exp}} - Z'_{i,\text{th}})^2 + (Z''_{i,\text{exp}} - Z''_{i,\text{th}})^2 \right\} \quad \text{Equation 2-4}$$

where  $Z'$  and  $Z''$  are the real and imaginary components of the impedance, respectively, and  $n$  is the number of data points.

The aforementioned sum of squares is not weighted, also termed unity-weighted. To prevent the larger values from dominating the calculation of the sum of squares, weighting is performed when impedance values in a data set differ over several orders of magnitude. Equation 2-1 can then be re-written as

$$SS = \sum \left\{ w(f_{\text{exp}} - f_{\text{th}})^2 \right\} \quad \text{Equation 2-5}$$

where  $w$  is the weighting factor. There are multiple types of data weighting. Ideally, weights are determined by replicating experiments and calculating the experimental standard deviations

$$w^a = \frac{1}{(\sigma^a)^2} \quad , \quad w^b = \frac{1}{(\sigma^b)^2} \quad \text{Equation 2-6}$$

where  $\sigma^a$  and  $\sigma^b$  are the experimental standard deviations, separated into two parts, for complex data (i.e. real and imaginary). Similarly,  $w^a$  and  $w^b$  are the weights, separated into two parts.

When replication is impractical, one can assume that the relative errors are constant, and the following relationship is applied.

$$\sigma^a = f^a_{\text{exp}} \quad , \quad \sigma^b = f^b_{\text{exp}} \quad \text{Equation 2-7}$$

Equation 2-7 is known as proportional weighting (PWT). In PWT,  $\sigma$  is proportional to the experimental data values.

Similarly, the theoretical value,  $f_{th}$ , can be used to determine the weight,

$$\sigma^a = f_{th}^a, \quad \sigma^b = f_{th}^b \quad \text{Equation 2-8}$$

thus, this is known as function proportional weighting (FPWT) [32].

Another form of weighting is modulus weighting (MWT) or function modulus weighting (FMWT), in which  $\sigma$  is equivalent to the magnitude of the experimental and theoretical data, respectively. Modulus weighting is suitable when the magnitudes of the real and imaginary data values are similar across the entire data set; however, this is normally not the case [33]. In general, FPWT and FMWT lead to less biased data fitting than PWT and MWT; though PWT can be more reasonable than FPWT when one is uncertain about the fitting model [33]. FPWT is used in this work due to the drawbacks of the other weighting types and the use of established circuit models. This selection of weighting type was experimentally confirmed as the best weighting method for this work. The table below shows the different abovementioned weighting types applied to the same data sets of four different devices with and without confluent cells on the electrode surface.

Table 2-1: Comparison of weighting types on data sets.

<b>MWT</b>	<b>Rsp (k<math>\Omega</math>)</b>	<b>Ydl (nF)</b>	<b>ndl</b>	<b>Rcell (k<math>\Omega</math>)</b>	<b>Ycell (nF)</b>	<b>ncell</b>	<b>SS</b>
D1	1.25	1.96	0.95	6.65	39.12	0.66	7.32E-03
D2	2.41	2.12	0.91	-2.37	5.18	6.51	2.94E+00
D3	1.12	1.87	0.96	--	--	--	3.35E-02
D4	1.35	1.60	0.95	--	--	--	8.72E-02
<b>Average</b>							<b>7.66E-01</b>

<b>FMWT</b>	<b>Rsp (k<math>\Omega</math>)</b>	<b>Ydl (nF)</b>	<b>ndl</b>	<b>Rcell (k<math>\Omega</math>)</b>	<b>Ycell (nF)</b>	<b>ncell</b>	<b>SS</b>
D1	1.22	1.93	0.95	7.04	48.17	0.64	<b>5.82E-03</b>
D2	1.31	1.52	0.95	4.51	53.38	0.63	<b>2.44E-03</b>
D3	1.13	1.87	0.96	--	--	--	<b>3.33E-02</b>
D4	1.36	1.58	0.95	--	--	--	<b>8.51E-02</b>
<b>Average</b>							<b>3.17E-02</b>

<b>PWT</b>	<b>Rsp (k<math>\Omega</math>)</b>	<b>Ydl (nF)</b>	<b>ndl</b>	<b>Rcell (k<math>\Omega</math>)</b>	<b>Ycell (nF)</b>	<b>ncell</b>	<b>SS</b>
D1	1.26	1.88	0.95	7.00	38.91	0.66	<b>8.08E-04</b>
D2	1.34	1.55	0.95	4.08	33.97	0.66	<b>1.55E-03</b>
D3	1.11	1.74	0.96	--	--	--	<b>1.71E-02</b>
D4	1.34	1.53	0.95	--	--	--	<b>8.82E-02</b>
<b>Average</b>							<b>2.69E-02</b>

<b>FPWT</b>	<b>Rsp (k<math>\Omega</math>)</b>	<b>Ydl (nF)</b>	<b>ndl</b>	<b>Rcell (k<math>\Omega</math>)</b>	<b>Ycell (nF)</b>	<b>ncell</b>	<b>SS</b>
D1	1.22	1.91	0.95	7.35	54.67	0.64	<b>1.11E-03</b>
D2	1.36	1.58	0.95	3.97	29.69	0.67	<b>1.66E-03</b>
D3	1.11	1.81	0.96	--	--	--	<b>3.61E-02</b>
D4	1.15	1.58	0.95	0.25	13.99	0.79	<b>1.31E-03</b>
<b>Average</b>							<b>1.00E-02</b>

The devices consist of gold electrodes with 500 $\mu$ m-diameter sensors. D1 and D2 have confluent cell monolayers on the sensor surfaces, whereas D3 and D4 contain cells that are not yet confluent on the sensors. Details about the equivalent circuit model and extracted parameters will be explained later. From Table 2-1, it can be seen that FPWT yields the smallest error (weighted sum of squares), followed by PWT, FMWT, and lastly MWT, with the largest SS.

Applying the FPWT technique to calculate the sum of squares of impedance data yields

$$SS = \sum_{i=1}^n \left\{ \left[ \frac{Z'_{i,\text{exp}} - Z'_{i,\text{th}}}{Z'_{i,\text{th}}} \right]^2 + \left[ \frac{Z''_{i,\text{exp}} - Z''_{i,\text{th}}}{Z''_{i,\text{th}}} \right]^2 \right\} \quad \text{Equation 2-9}$$

where n is the number of data points.

In CNLS fitting, ensuring that the minimum is found is a common issue. This problem has been addressed in [34] by implementing one program that usually converges, followed by a second program that uses the input of the first program. The second program converges only when a set of parameter values lead to an absolute or a good local minimum [31]. It is also recommended that data should be fitted in the form it was measured to reduce bias in the fitted results, caused by taking the inverse of data with errors [31]. In example, if the real and imaginary components of the impedance are measured, fitting should be done with the real and imaginary components and not the magnitude and phase components. A good fit is established when the relative standard deviations of the fitted parameters are less than 30% [31].

### 2.3.4 Biological Dispersions

Microelectrodes will be used in this work to perform IS measurements, which will provide frequency-dependent information about biological materials. Figure 2-4 shows a plot of the frequency dependence of relative permittivity and specific conductivity of biological tissue, reprinted from [35]. This plot shows three regions, originally defined by Schwan [36], as alpha ( $\alpha$ ), beta ( $\beta$ ), and gamma ( $\gamma$ ) dispersions, at low, mid, and high frequencies respectively. As measurement frequency increases, tissue conductivity increases and permittivity decreases.



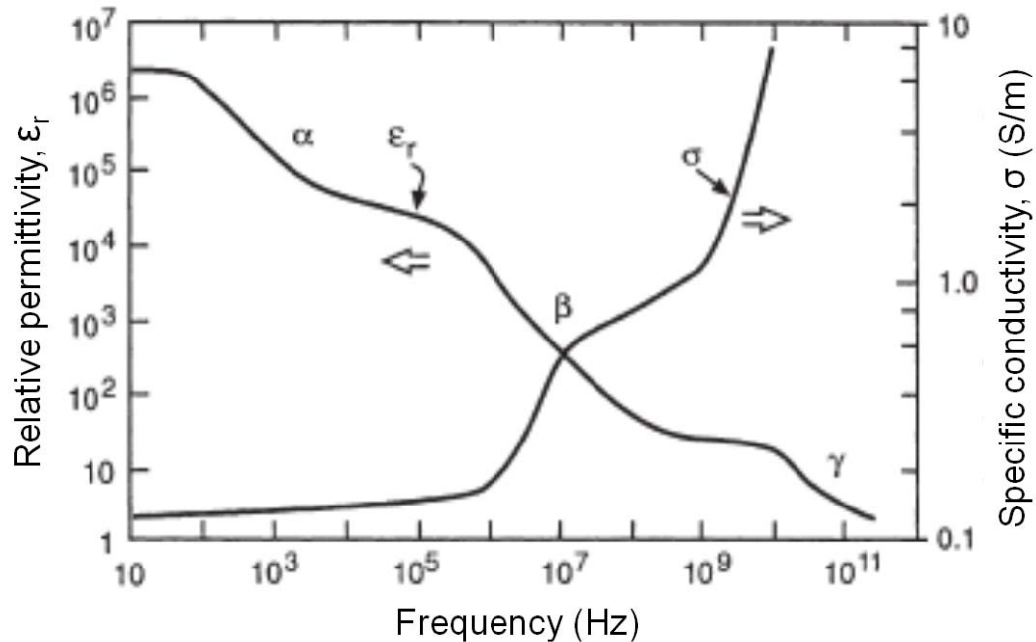


Figure 2-4: Frequency dependence of the relative permittivity and specific conductivity of complex biological tissue

Each of these dispersions is caused by a physical process. The alpha dispersion, which occurs below 10 kHz, is due to ionic diffusion in layers of the cell culture medium [37]. Within the beta dispersion region, between 1 MHz and 100 MHz, the electric field begins to penetrate the cell interior. According to Tamura et al., the beta-dispersion is dependent on the charging properties of the cell membranes, along with intra- and extra-cellular fluids [38]. Above 10 GHz (gamma dispersion), it has been shown that measured quantities tend to vary over frequency in proportion to the water content of the cells [39]. In this research, the region of interest is the beta dispersion in order to identify changes in cellular membrane structure.

### 2.3.5 Two- vs. Four-Electrode Impedance Measurements

The most common measurement configurations are performed with 2 and 4 electrodes. In 2-electrode measurements (primarily used in this research) current is

passed and voltage and measured between the same set of electrodes. One electrode in the set is identified as the working electrode and the other, usually larger, electrode is named the counter electrode. If the area of the counter electrode is at least 300-times greater than the area of the working electrode, the impedance of the system is dominated by the impedance of the working electrode [14]; thus simplifying the analysis of the system. Alternatively, in 4-electrode measurements, current is passed through one pair of electrodes and voltage is measured between another pair. Normally, the voltage sensing electrodes are placed linearly between the current carrying electrodes. Both measurement configurations have advantages and disadvantage. For 2-electrode measurements, electrode polarization impedance, caused by the adsorption of electrolytic ions/molecules on the electrode surface, is geometry dependent. As electrode area decreases, the effect of electrode polarization impedance becomes greater, causing relevant data to be masked at lower frequencies. On the other hand, electrode polarization impedance has negligible effects 4-electrode measurements since the current passing and voltage sensing electrodes are separate. However, with this configuration, multi-electrode array configurations are not easily achieved for increased spatial resolution.

Impedance detection, using either configuration, can be performed continuously and long-term since the measurements are non-destructive and label-free. This research leverages on noninvasive, nondestructive, label-free characteristics of impedance measurements for long-term analysis of cells and their surrounding environment.

## Chapter 3 State-of-the-Art and Applications of Impedance Spectroscopy

### 3.1 Impedance Detection of Normal and Abnormal Cells

Impedance measurements of adherent cells have been shown to detect changes in cell morphology on the order of nanometers [6], providing sensitivity much greater than that obtained through visual inspection. Cellular morphology is one of the most important parameters in cancer biology [23]. There are numerous examples in literature demonstrating a change in impedance with a corresponding change in the physical properties of cells [1, 2, 9, 40]. In general, impedance measurements can detect a change in cellular capacitance and resistance at the electrode interface. When cells attach and spread onto the surface of the electrode, the measured impedance increases because the cell membranes act as insulators and block current flow. A schematic of the current flow in tissue culture medium with and without cells is shown in Figure 3-1, from [41]. A small current is passed through the electrodes, thus making the measurements non-invasive and non-destructive.

Beginning in the 1920s with Frick and Morse, significant capacitive differences have been found between malignant breast tumors and normal tissues [42]. Due to increased cellular water and salt content, altered membrane permeability, and changed packing density, malignant tumors typically exhibited a lower electrical impedance [42]. Han et al. demonstrated that cancer cells at different stages had unique impedance signatures. Since the cell membrane of cancer cells are more permeable, a decrease in capacitance resulted, relative to the stage of cancer [43]. A study by Beetner et al. demonstrated

differences between basal cell carcinoma (BCC), benign lesions, and normal skin using electrical impedance measurements. They noted that BCC had a larger nuclei and smaller intercellular spacing, causing a difference in measured impedance [44].

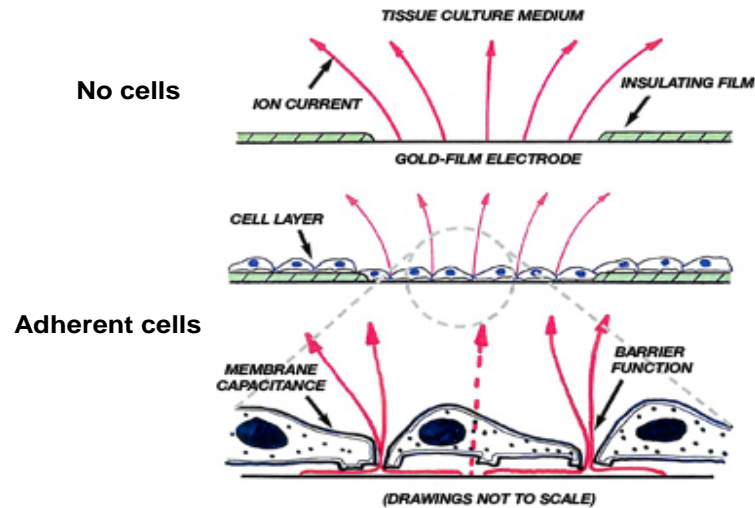


Figure 3-1: Schematic of current flow in tissue culture medium with and without cells present on electrode

The basis of this research originates from the findings of the abovementioned work, which verifies that there are measurable impedance differences between normal and cancer cells.

Some differential electrical characteristics between normal and cancer cells are summarized below:

- Cancer cells have a lower electrical membrane potential and lower electrical impedance than normal cells [45]
- Cancer cells have an accumulation of an excessive amount of negative charges on their exterior surface [46]

- Electrical conductivity and permittivity of cancerous cells is greater than that of normal cells [47]

In a controlled, static environment, cell characterization can provide fundamental information about cells' long-term behavior, functional characteristics, and growth-cycle. This fundamental information can prove to be invaluable with applications such as cancer research, toxicology and cytopathic studies, drug screenings, wound healing, personalized healthcare, and development of flow-through cell characterization systems. Development of a practical biosensor for cancer research requires (1) sensor design optimization, (2) configuration and optimization of measurement setup, and (3) sensitive detection and differentiation of cancer cells.

### **3.2 State-of-the-Art: Adherent Cell Characterization**

The interactions between in vitro cells and substrates have been studied for many decades to obtain vital information about the condition of cells. Normal anchorage-dependent cells secrete extracellular matrix (ECM) proteins and proteoglycans that adhere to the substrate to attain traction for migration and acquire signals for growth and differentiation from the ECM. Other cell functions such as gene induction, motility, wound healing, and tumor metastasis are all dependent on the binding of cells to ECM [48]. The study of cell-substrate interactions has applications in drug screenings, toxicity and cytotoxicity studies, cancer research, wound healing, and cytopathic studies.

Analysis of cell attachment using a microscope has been a commonly used technique; however the analysis is qualitative and performed at a single point in time. Cinematography is used to continuously record an event; however, data quantification is difficult and requires extensive data manipulation [49]. Some other quantitative methods that measure cell substrate interactions include quartz crystal microbalance (QCM),

optical waveguide lightmode spectroscopy (OWLS), and electric cell-substrate impedance sensing/spectroscopy (ECIS) [50]. QCM monitors changes in resonant frequency of piezoelectric quartz crystals to measure cell adhesion, proliferation, and cytotoxicity. OWLS uses evanescent waves to monitor cell adhesion and proliferation.

A detailed review of these techniques, along with a few others, can be found in [51, 52]. OWLS and QCM, both being optical-based techniques, would add expense and complexity to the measurement setup. The use of ECIS-based measurements was chosen for this research due to its simplicity, ease of miniaturization, and wide range of applications.

### **3.2.1 Electric Cell-Substrate Impedance Sensing (ECIS)**

ECIS was developed by Giaever and Keese in 1984 to study the electrical properties of adherent cells. A small, 250 $\mu$ m diameter, gold working electrode and a larger counter electrode is used in the two-electrode system. When cells attach and spread onto the surface of the electrode, the measured impedance increases because the cell membranes act as insulators and block current flow. The sensitivity of these measurements is on the order of nanometers [6], meaning that changes in morphology or micromotion can be detected on a nanoscale. A photograph of a commercial ECIS device is shown in Figure 3-2.

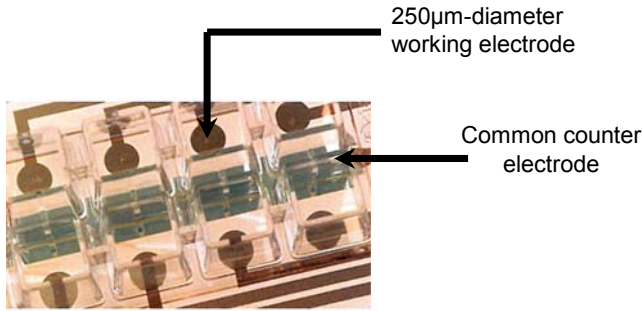


Figure 3-2: Photograph of commercial ECIS device

Equivalent circuit modeling and/or numerical analyses can be performed to quantify and monitor system parameters such as cell membrane capacitance, solution resistance, cell-cell junction resistance, and cell-substratum contact resistance. ECIS is very sensitive to micromotion, the constant movement of cells on the electrode surface driven by their microfilaments [8]. Therefore, small changes can be detected even before observations are visible under a microscope.

Applied Biophysics offers various electrode designs, including one with 2 independent working electrodes within a cell culture chamber, shown in Figure 3-3. It is a well-known and widely accepted technique; however, some of its shortcomings include limited frequency range and preset data points, and minimal spatial resolution. The high end of the frequency range is limited by the presence of the passivation layer over the unexposed gold traces. This results in a parasitic coating capacitance at higher frequencies, where current preferentially travels through the least resistive pathway [53]. Spatial resolution and statistically relevant data can be enhanced by implementing more independent working electrodes to obtain multiple impedance datasets within a cell culture chamber.

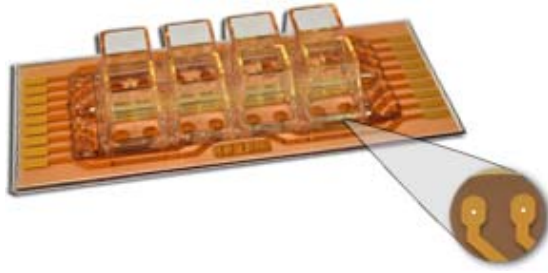


Figure 3-3: Applied Biophysics' ECIS device 8W2x1E

The final device described in this research contains 8 independent electrodes to improve statistical relevance of measured data and obtain information position-dependent data across the cell culture.

### 3.2.2 Applications of ECIS in Literature

Many studies have been performed in literature using ECIS to study the effects of toxin, drugs, and environmental changes on normal and cancerous cells [1, 2, 4-9, 11, 12, 15, 17, 54]. In general, when the adherent cells were faced with an adverse challenge (bacterial/viral infection, deprivation of glucose, induced apoptosis), they retract from the electrode surface and neighboring cells, causing a decrease in resistance and increase in capacitance. A review of this literature is summarized in Table 3-1. From the literature review, it has been shown that cells faced with various challenges can be identified using ECIS measurements. This research fills-in the gaps by optimizing electrodes for ECIS-based measurements and designing multi-electrode devices to obtain multiple data points from within a cell culture, resulting in statistically relevant data, to electrically characterize cells for subsequent microfluidic impedance sensing and 3-dimensional tumor spheroid cultures.



Table 3-1: Summary of relevant ECIS literature.

Cause / Challenge	Effect	Reference
(a) No challenge: studied micromotion of WI-38 VA13 and WI-38 fibroblastic cells (b) Added 10% formalin treatment to kill cells	(a) Derived a model to calculate the specific impedance of a cell-covered electrode, based on cell-free electrode impedance, membrane capacitance, and resistivity of medium (b) No fluctuation (micromotion)	Giaever, et al.; 1991, [2]
(a) Temperature (27°C and 37°C) (b) Deprivation of glucose (c) Cytochalasin D	(a) Decreased temperature decreases cell fluctuations (b) Reduced glucose reduced micromotion of cells (c) Decreased resistance due to morphological changes	Lo, et al.; 1993, [6]
Effect of applied electric fields	At 40 $\mu$ A, microfilaments relax & move away from the surface (decreased resistance); at 200 $\mu$ A, electroporation occurs	Keese, et al.; 1994, [8]
Microbial challenge: indigenous oral spirochete <i>Treponema denticola</i> , varying concentration	At highest concentration, initial increase in impedance was observed (cell swelling); Decreased micromotion and junctional resistance; relatively constant cell-substrate resistance	Ko, et al.; 1998, [10]
No challenge: resistance & capacitance of epithelial MDCK cells	Between 200 Hz & 5 kHz, resistance increased first due to attachment and spreading, then tight junctions; high-frequency (40 kHz) capacitance is most sensitive parameter for monitoring attachment & spreading	Wegener, et al.; 2000, [14]
Bovine aortic endothelial cells (BAECs) exposed to fluid flow (forces)	Sharp increase in resistance at flow onset; resistance decreased after 60 min. Capacitance slightly decreased at flow onset then increased after 10 min. until flow was removed  Changes in capacitance (5%) were small compared to change in resistance (30%)	DePaola, et al.; 2001, [16]

Table 3-1 (Continued)

Addition of metastatic cell suspensions to confluent human umbilical vein endothelial cells	Endothelial cell junctions retracted; thus impedance decreased; Change in impedance correlated with the strength of the metastatic cells	Keese, et al.; 2002, [1]
Wounding of African green monkey kidney cells, NRK cells, MDCK cells with elevated current pulses ( 3V at 40kHz)	Wounding resulted in drop in resistance and increase in capacitance from 1 nF to 5 nF. Resistance increased back to level of cell-covered electrode after a few hours due to migration of cells	Keese, et al.; 2003, [3]
Addition of mercuric chloride at various concentrations	Cells died; Impedance decreased. Resistance changed as a function of cell attachment, spreading, mitosis, and cytotoxicity effect	Xiao, et al.; 2003, [5]
Induced apoptosis in porcine brain capillary endothelial cells (PBCECs) using cycloheximide (CHX)	25 $\mu$ M CHX: Impedance decreased after ~30 min.; impedance reached cell-free value after 6 hours. Values for cell-cell resistance, cell-substrate resistance, & cell membrane capacitance were extracted	Arndt, et al.; 2004, [9]
Introduced toxins (tamoxifen and menadione) to Human hepatocellular carcinoma cell (HepG2)	Dead cells detached and impedance decreased. Change in impedance consistent with intensity of fluorescence using conventional fluorescent assays	Yeon, et al.; 2005, [12]
Addition of influenza A viral infection to MDCK cells	Cells became rounded and detached from surface. Impedance decreased in dose-dependent manner	McCoy, et al.; 2005, [15]
(a) Differentially coated surfaces (b) Integrin and actin cytoskeleton disrupting agent (c) Interfering with Src tyrosine kinase expression and activity	(a) Increase in cell index (resistance) correlated with cell attachment and spreading; able to distinguish adhesion quality (b) Function-blocking antibodies prevented cell attachment and spreading (c) Cell attachment and spreading was inhibited, indicated by decrease in cell index	Atienza, et al.; 2005, [17]

Table 3-1 (Continued)

<p>CHSE-214 cells infected with infectious pancreatic necrosis virus (IPNV)</p> <p>EPC carp cells infected with infectious hematopoietic necrosis virus (IHNV)</p>	<p>Resistance increased with initial attachment and spreading. Resistance decreased &amp; capacitance increased due to cell death ~ 50 hours after introduction of virus. Virus had no effect at room temperature; its effect was dose-dependent</p>	<p>Campbell, et al.; 2007, [4]</p>
<p>Aspirin added to HT-29 colon cancer cells after 24 hours</p>	<p>Inhibited HT-29 cell growth; Impedance decreased</p> <p>Changes most sensitive at 40 kHz</p>	<p>Yin, et al.; 2007, [7]</p>
<p>(a) No challenge: studied NCI-H460 cancer cell attachment on collagen</p> <p>(b) NCI-H460 concentration (<math>1 \times 10^4</math> vs. <math>3 \times 10^4</math> cells/chip)</p> <p>(c) Effect of antibodies against <math>\beta 1</math> &amp; <math>\alpha 2\beta 1</math>-integrin</p>	<p>(a) Impedance change increased with time</p> <p>(b) 92-135% difference in impedance change when cell concentration increased; highest sensitivity reported</p> <p>(c) Increased antibody concentration decreased total impedance change</p>	<p>Chen, et. al; 2008, [11]</p>
<p>Wound edges formed using SAMs to inhibit cell adherence</p> <p>(a) Measured migration speed of 4 cell lines (CaSki, HeLa, Vero-E6, &amp; NIH-3T3) with/without serum</p> <p>(b) Effect of migration inhibition agent (colchicine)</p>	<p>(a) Speed of migration significantly higher in media with serum; NIH-3T3 (fibroblast cells) showed the highest migration speed</p> <p>(b) Colchicine inhibited cell migration in a concentration-dependent manner</p>	<p>Wang, et al.; 2008, [13]</p>

### 3.2.3 xCELLigence

The xCELLigence system, also known as the real-time cell electronic sensing (RT-CES) system, was developed in the early 2000's. It has similar traits to the ECIS setup; though one major difference is that the xCELLigence system incorporates a circle-on-line electrode design that covers approximately 80% of the surface area of 16, 96, 384-well plate chambers [55]. Each individual electrode has a diameter of 90  $\mu\text{m}$ , and the spacing between two rows of electrodes is approximately 10  $\mu\text{m}$ . The design mirrors that

of interdigitated electrodes. An image of the system and electrode, from the Roche Applied Science website (<http://www.roche-applied-science.com/sis/xcelligence>), is shown in Figure 3-4.

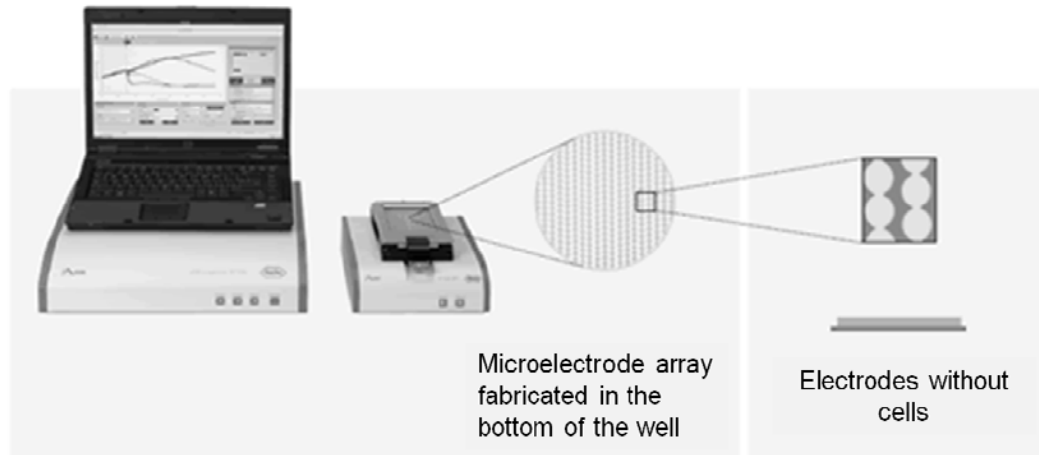


Figure 3-4: Photograph of xCELLigence system and enlarged image of microelectrode, circle-in-line design

This system uses a measure termed cell-index, (CI) which can be associated to monitor cell viability, number, morphology, and adhesion. The CI is a dimensionless number that is proportional to the ratio of the measured impedance with cells present and without cells. As cells attach and spread onto the electrodes, the CI increases from zero. Impedance measurements are taken at 10, 25, and 50 kHz, though 10 kHz is primarily used to calculate the cell index. CI is defined by the following equation:

$$CI = \max_{i=1, \dots, N} \left[ \frac{R_{cell}(f_i)}{R_b(f_i)} - 1 \right] \quad \text{Equation 3-1}$$

where  $R_{cell}$  and  $R_b$  is the frequency-dependent resistance when cells are attached and when no cells are attached, respectively [56]. Further reading and illustrations can be found in [57, 58]. Advantages of the optimized electrode design in this research include

independent working electrodes, and impedance spectroscopy to characterize frequency-dependent system parameters.

### 3.2.4 Electrode Optimization

Impedance characterization of biological cells, using microelectrodes, is an emerging diagnostic tool for studying electrophysiological and biophysical changes due to viral infections [15], cancer detection [59], and drug response [60]. Microelectrodes offer many advantages over their conventional counterparts including: economy due to batch fabrication [61], small signal and large current densities (current per unit area) due to enhanced mass transport [62], and the ability to integrate electrodes with other instrumentation to develop portable measurement systems [63]. The small currents associated with microelectrodes have the potential to perform non-destructive measurements and facilitate the study of high resistivity samples [64].

There are also disadvantages associated with microelectrodes, commonly resulting in measurement error. At low frequencies, microelectrodes are challenged with interfacial polarization impedance in 2-electrode measurements. Interfacial, or double layer, capacitance is indirectly proportional to interfacial impedance and arises from the inability of charge carriers to move across the solid–liquid barrier [65]. The result of this barrier is accumulation of charges in response to an applied potential to the electrode; thus giving rise to a capacitive effect. Since capacitance is directly proportional to area, in the case of microelectrodes, this effect can lead to very large impedances, particularly at low frequencies.

Researchers have performed experiments to optimize the electrode designs for various applications. Fosdick and Anderson [66] optimized the geometry of a microelectrode array flow detector; with respect to amperometric response; and Min and

Baeumner [67] investigated geometric parameters (i.e. electrode height, material, gap size, and electrode width) of interdigitated ultramicroelectrode array (IDUAs) to optimize oxidation and reduction reactions of ferro/ferrihexacyanide. Sandison and coworkers [68] studied electrode sensor array geometry (center-to-center spacing and diameter) and porosity of electrode sensors using  $\text{Si}_3\text{N}_4$ -coated silicon substrate and Lempka and coworkers [69] optimized silicon-substrate microelectrodes for neural activity recordings.

While the aforementioned works studied the optimization of electrodes for flow detectors, neural recordings, and microfluidic biosensors; design rules for optimization of microelectrodes for ECIS-based measurements had received little attention in published literature. One example of ECIS-based optimization was performed by Wang and colleagues. They investigated the sensitivity and frequency characteristics of interdigitated array microsensors for ECIS [70]. Other studies have been performed for numerical optimization of cell data analysis [71], and determination of the most robust and sensitive cell lines for field-portable toxicology studies [72]. Part of this work focuses on optimizing ECIS-based electrodes to reduce measurement noise. The other part of this research uses this optimized electrode design to characterize and differentiate normal and cancer cells with enhanced detection sensitivity and statistically-significant data. This research will serve as a foundation for cancer cell characterization and detection.

## Chapter 4 Electrode Optimization for High-Frequency Impedance Measurements

### 4.1 Introduction

As mentioned previously, microelectrode designs need to be optimized to reduce interfacial impedance as well as to extend the useful frequency probing range. One of the objectives of this research is to suppress these parasitics and optimize microelectrode design for ECIS-based measurements within the beta dispersion region. Electrode design optimization of microelectrodes is critical to the efficient employment of detection techniques in drug discovery, cancer research, and toxicology studies. Pejic and De Marco [73] reiterate that sensor optimization is one of the most crucial steps in the realization of an electroanalytical device.

In this work, a design rule was derived for optimization of microelectrodes used in Electric Cell–Substrate Impedance Sensing (ECIS) up to 10 MHz [53]. Previous work [74], studying the effect of electrode geometry (sensor diameter), demonstrated the parasitic effects of the passivation coating at higher frequencies. The effect of electrode design (electrode area, lead trace widths, and passivation coating thickness) on the contribution of the passivation coating impedance was experimentally evaluated using Electrochemical Impedance Spectroscopy (EIS) measurements. The parasitic coating impedance was successfully minimized by designing electrodes with either a thicker coating layer or a smaller lead trace width. It was observed that passivated lead trace area to coating thickness ratio has a critical value of 5.5, under which the impedance contribution of the coating is minimized.

The optimized design of ECIS-based microelectrode devices reported in this work will make it possible to probe the entire beta dispersion region of adherent biological cell layers.

## 4.2 Fabrication

Gold microelectrode devices were fabricated on glass wafers using standard photolithography and metal deposition techniques. The fabrication process flow is illustrated in Figure 4-1 (a-f).

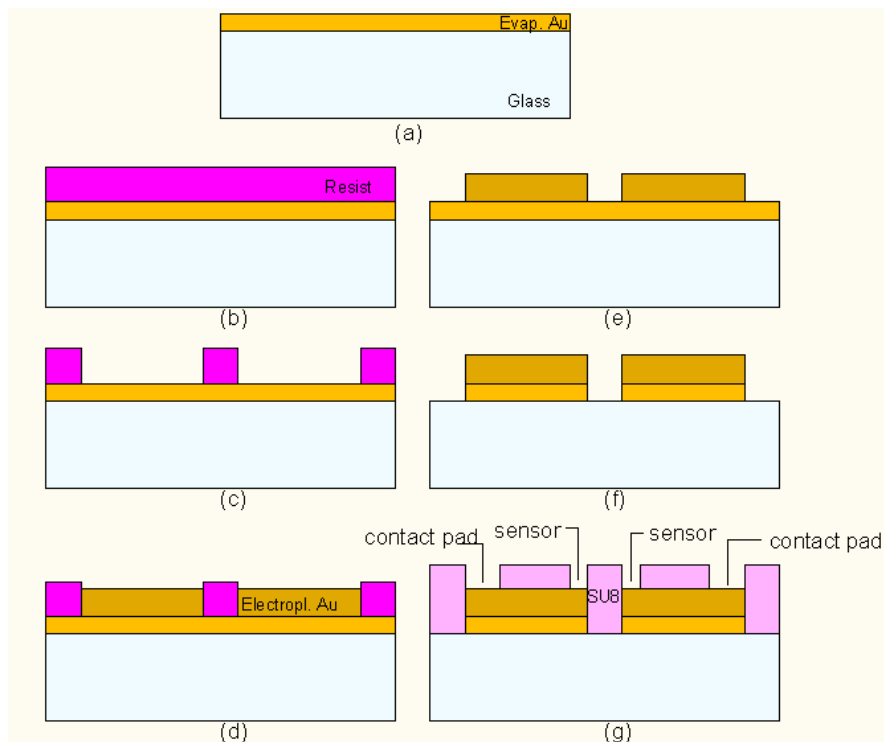


Figure 4-1: Fabrication process for microelectrode devices. (a) clean glass substrate and thermally evaporate chromium and gold layers; (b) apply and pattern photoresist; (c) electroplate gold onto uncovered electrode sensors, traces, and contact pads; (d) lift-off; (e) wet etch to remove evaporated gold and chromium; (f) apply and pattern photoresist to insulate the traces and expose the sensors and contact pads

Four-inch glass wafers were solvent cleaned (acetone and methanol) and dried with nitrogen. Chromium (200 Å) and gold (1000 Å) were thermally evaporated onto the



wafers. Next, the wafers were solvent cleaned in preparation for the first of two photolithography steps. The first photolithography process, performed with NR1-3000PY (Futurrex) negative photoresist, opened patterns in the resist in the shape of the electrode device seen in Figure 4-3. 3000PY was spun at 3000 rpm for 30 seconds. The wafers were soft-baked on a hotplate for 1 minute at 155°C. (Note: the bake times are double the times typically seen on data sheets because the data sheets are optimized for silicon; however, glass, being insulating, requires longer bake times). The photoresist was exposed for 60 seconds using an EVG mask aligner, followed by a 2-minute post exposure bake at 110°C. Lastly, the resist was developed in RD6 (Futurrex) for 18 seconds. Subsequently, gold was electroplated onto the exposed evaporated gold. Electroplated gold is rougher than evaporated gold. The rougher surface increases the surface area of the electrode sensors and thus reduces the effect of the parasitic impedance caused by the double layer at low frequencies. Approximately 1  $\mu\text{m}$  of gold was electroplated onto the evaporated gold, which acted as a seed layer. Electroplating was performed using RTU TG25E (Technic) gold plating solution. The solution was warmed to 55°C on a hotplate with a magnetic stirrer (Figure 4-2).

The negative terminal of a current source was connected to the wafer and the positive terminal was connected to a platinum mesh. The gold plating solution contains positively charged gold metal salt, which is attracted to the negatively charged wafer and reduced to metallic form. A 2 mA DC current was applied for 30 minutes, resulting in approximately 0.4  $\mu\text{m}$  of gold. Profilometer measurements were completed before and after plating to confirm the height of the gold.

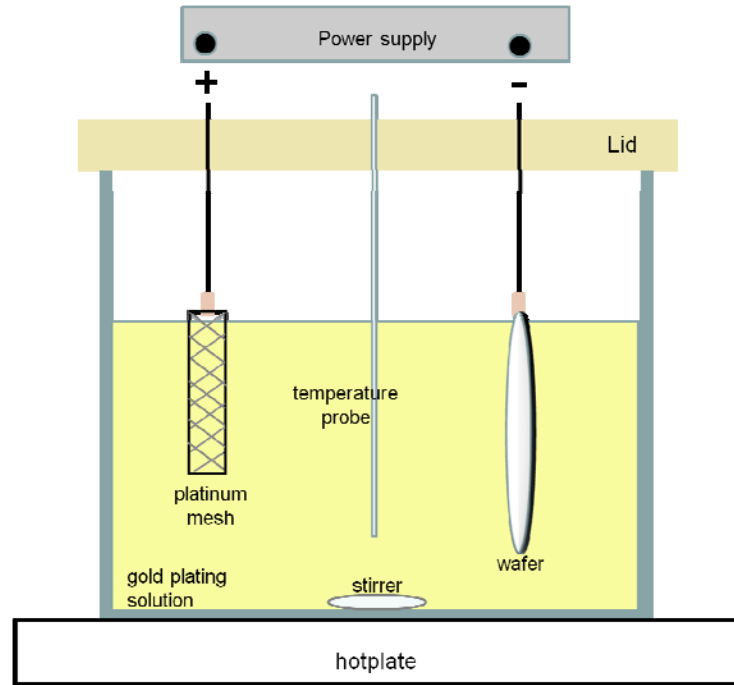


Figure 4-2: Schematic of electroplating setup

Next, the 3000PY photoresist was removed by solvent cleaning the wafers. The evaporated gold (seed layer) was removed via wet etching in gold etchant for approximately 20 seconds. The seed layer is removed; however, sufficient electroplated gold remains since the electroplated gold is 10 times greater than that of the evaporated gold. The chromium seed layer is subsequently removed by wet etching with a chromium etchant for approximately seven seconds. The wafers are solvent cleaned and prepared for the second photolithography step.

The wafers were diced into single devices and cloning cylinders were attached to serve as the electrolyte reservoir. The cylinders were centered and attached around the four sensors by slowly heating photoresist around the outer circumference of cylinder, using a hotplate.

Subsequently, the photoresist harden as it slowly cooled and to form a tight seal with few air pockets. Schematics of the 500  $\mu\text{m}$  and 30  $\mu\text{m}$  trace-width devices are illustrated in Figure 4-3.

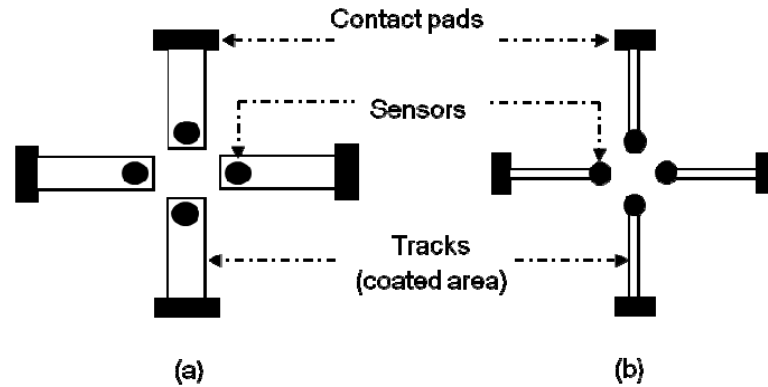


Figure 4-3: Top-view schematics of (a) 500 $\mu\text{m}$  and (b) 30 $\mu\text{m}$  trace-width devices

Electrode devices with different sensors diameters were fabricated for each trace width, using standard photolithography techniques. The 500  $\mu\text{m}$  trace-width devices had sensor diameters of 500, 250, and 100  $\mu\text{m}$ . The 30  $\mu\text{m}$  trace-width devices had sensor diameters of 500, 250, and 125  $\mu\text{m}$ .

### 4.3 Theory

Theoretically, capacitance decreases as area decreases, according to the following equation,

$$C = \frac{\epsilon_0 \epsilon_r A}{d} \quad \text{Equation 4-1}$$

where  $\epsilon_0$  is the permittivity of free space ( $8.85 \times 10^{-12}$  F/m);  $\epsilon_r$  is the relative permittivity of the polymer coating in this case (4-8); A is the area of the coated traces; d is the thickness of the coating.

Therefore, decreasing the polymer-covered trace area or increasing the thickness of the coating should decrease the coating capacitance. In previous work [74], experiments were performed on electrode devices with a 500  $\mu\text{m}$  trace width and 2  $\mu\text{m}$ -thick resist. The area of coating exposed to the electrolyte was approximately 1  $\text{mm}^2$ , and the coating impedance appeared at approximately 1 MHz. This is a result of current flowing through the coating, a pathway of lower resistance at higher frequencies. To validate this theory, new electrode devices were designed and fabricated with a 30  $\mu\text{m}$  trace-width; thus reducing the coating area to  $7.65 \times 10^{-2} \text{mm}^2$ , more than an order of magnitude smaller than the devices with a 500  $\mu\text{m}$  trace width.

#### **4.4 Effect of Increasing the Passivation Coating Thickness**

Impedance measurements were performed on the 500  $\mu\text{m}$  trace-width devices to investigate the effect of increasing the coating thickness on upper-frequency impedance measurements using potassium chloride (KCl) as the electrolyte. When the resist thickness was increased from 2  $\mu\text{m}$  to 20  $\mu\text{m}$ , there was a significant reduction in the coating impedance component. A comparison of measurements with 2  $\mu\text{m}$ - and 20  $\mu\text{m}$ -thick coatings is illustrated in the bode plot of Figure 4-4.

The coating impedance calculated at 10 MHz was less than the spreading resistance for the devices with 2  $\mu\text{m}$ -thick coating for all sensor diameters; hence current flows through the coating as seen with the 250  $\mu\text{m}$  sensor diameter, 2  $\mu\text{m}$ -thick resist trace ( $\square$ ) in Figure 4-4.

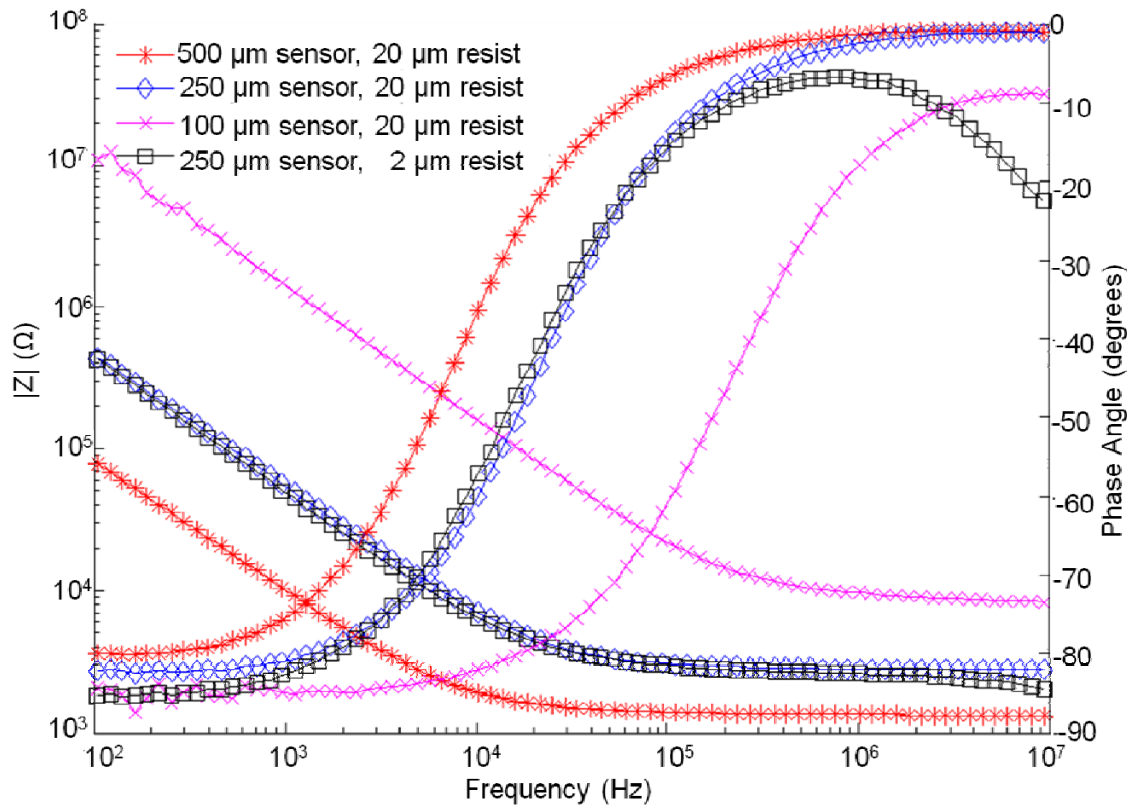


Figure 4-4: Bode plot of 500  $\mu\text{m}$  trace-width devices, of varying sensor diameters, with 2  $\mu\text{m}$ -thick resist and 20  $\mu\text{m}$ -thick resist. \* (500  $\mu\text{m}$  sensor diameter, 20  $\mu\text{m}$  thick resist);  $\diamond$  (250  $\mu\text{m}$  sensor diameter, 2  $\mu\text{m}$  thick resist); x (100  $\mu\text{m}$  sensor diameter, 20  $\mu\text{m}$  thick resist);  $\square$  (250  $\mu\text{m}$  sensor diameter, 20  $\mu\text{m}$  thick resist). Increasing the coating thickness to 20  $\mu\text{m}$  suppressed the coating

In contrast, the coating impedance of the devices with 20  $\mu\text{m}$ -thick coating is less than the spreading resistance for sensor diameters less than 250  $\mu\text{m}$ ; so the coating capacitance component is not seen on the 250  $\mu\text{m}$  ( $\diamond$ ) and 500  $\mu\text{m}$  (\*) traces in Figure 4-4, however it is slightly apparent in the 100  $\mu\text{m}$  sensor diameter, 20  $\mu\text{m}$  thick resist trace (x). The results confirm that increasing the coating thickness to 20  $\mu\text{m}$  eliminates the coating component for devices with sensor diameters of 250  $\mu\text{m}$  or greater.

#### 4.5 Effect of Decreasing the Coating Area

To study the effect of trace width, electrodes were designed and fabricated with 30  $\mu\text{m}$  trace width and impedance was measured using KCl as the electrolyte. Decreasing the coating area from 1.1  $\text{mm}^2$  to  $7.65 \times 10^{-2} \text{mm}^2$  eliminated the high-frequency coating component on all measured sensors, including the 500 $\mu\text{m}$ , 250 $\mu\text{m}$ , 125 $\mu\text{m}$ -diameter sensors, as illustrated in Figure 4-5.

The theoretical coating impedances (at 10 MHz) of the 30  $\mu\text{m}$  trace-width devices averaged  $9.6 \times 10^3 \Omega$ , a value greater than the spreading resistances of the 500 $\mu\text{m}$  diameter sensor ( $R_{\text{sp}} = 1.4 \times 10^3 \Omega$ ), 250 $\mu\text{m}$  diameter sensor ( $R_{\text{sp}} = 2.8 \times 10^3 \Omega$ ) and 125 $\mu\text{m}$ -diameter sensor ( $R_{\text{sp}} = 5.6 \times 10^3 \Omega$ ). This demonstrates that theoretically as well as experimentally, the coating component is no longer dominant at high frequencies on the 30  $\mu\text{m}$  trace-width devices.

By removing the high-frequency coating component, the equivalent circuit of the KCl-electrode system was simplified to a resistor-constant phase element (CPE) series circuit. The simplified R-CPE series circuit was used to fit the data and the extracted system parameters. The percent error of each fitted parameter was no greater than 3.5%, indicating a good fit with the R-CPE series circuit. This demonstrates that the coating capacitance component was successfully eliminated up to 10 MHz.

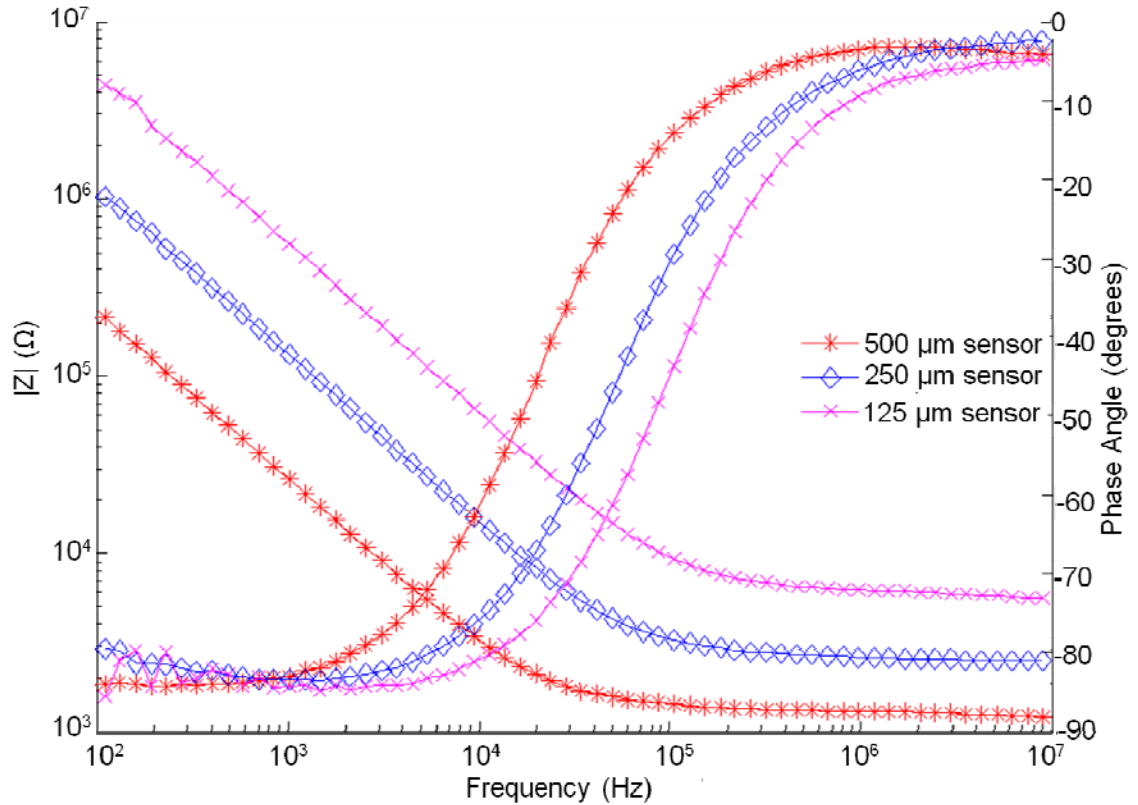


Figure 4-5: Bode plot of 30  $\mu\text{m}$  trace-width devices with 2  $\mu\text{m}$ -thick coating of varying sensor diameters. \* (500  $\mu\text{m}$  sensor);  $\diamond$  (250  $\mu\text{m}$  sensor), x (125  $\mu\text{m}$  sensor). Decreasing the coating area suppressed the coating impedance contribution.

#### 4.6 Design Rule

The coating area is defined as the area of the polymer-covered lead trace in contact with the electrolyte (within the cylinder). The coating area was calculated with the following assumptions: (1) the arc formed by the cylinder across the traces was assumed linear and (2) the majority of the current flowed directly between the two traces.

Table 4-1 shows the calculated ratios of coating area to coating thickness for the 500 and 30  $\mu\text{m}$  trace-width devices. The enclosed ratios are for those electrode

configurations in which a coating capacitance component was not present in impedance measurements up to 10 MHz.

From the data in Table 4-1, the following inequality is derived:

$$\frac{\text{coating area}}{\text{coating thickness}} < 5.5 \quad \text{Equation 4-2}$$

This signifies that if the ratio of coating area to coating thickness is less than 5.5, then a coating capacitance will not be present in impedance measurements up to 10 MHz.

Table 4-1: Ratio of coating area to coating thickness of 500µm and 30µm trace-width devices of varying sensor diameters. The coating capacitance component was not present in designs with enclosed ratio

	Coating area (mm <sup>2</sup> )	RATIO 2µm-thick coating	RATIO 20µm-thick coating
<b>500µm trace-width devices</b>			
500µm sensor	8.07 x 10 <sup>-1</sup>	40.37	4.04
250µm sensor	1.10	55.09	5.51
100µm sensor	1.18	59.21	5.92
<b>30µm trace-width devices</b>			
500µm sensor	6.90 x 10 <sup>-2</sup>	3.45	
250µm sensor	7.65 x 10 <sup>-2</sup>	3.83	
125µm sensor	8.03 x 10 <sup>-2</sup>	4.01	

A coating capacitance component was not seen in the 500-, 250-, and 125µm – diameter sensors of the 30µm trace-width devices. The coating area to coating thickness ratio for all sensor diameters fell below the critical value of 5.5, previously derived; thus verifying the design rule.

#### 4.7 Design Rule Applied to ECIS Commercial Devices



The commercial 8W1E ECIS (Applied BioPhysics) cell culture impedance device has a coated area of approximately 14 mm<sup>2</sup>. For a coating thickness of 2 μm, the coating area to coating thickness ratio is calculated to be approximately 700, which is much greater than the critical ratio of 5.5. From this calculation, it can be inferred that the 8W1E ECIS device has a coating capacitance component at high frequencies. This was verified with previous measurements [75].

It has been experimentally proven that microelectrode devices, particularly those used for ECIS measurements, can be optimized by decreasing the coating (trace) area and/or increasing the coating thickness to eliminate the high-frequency coating component. A relationship between coating area and coating thickness was derived to aid in the design of ECIS-based microelectrode devices for high-frequency impedance measurements. A critical ratio of 5.5 (coating area to coating thickness) was defined in order to completely eliminate the coating capacitance component. The redesigned system reduces measurement artifacts and improves the quality of data across the beta-dispersion region. The new design will enable the use of the commonly used ECIS technique to measure real-time cellular properties in high frequency ranges (beta dispersion) that was not possible thus far.

## Chapter 5 Comparison of Measurement Sensitivity Between 2- and 4-Electrode Configurations

### 5.1 Introduction

This work was performed in collaboration with the Naval Research Laboratory's Center for Biomolecular Science and Engineering. The effects of diffusion between non-conductive sheath and conductive sample fluids in an impedance-based biosensor were investigated using 2- and 4- electrode impedance configurations. Sections of this work, namely the surface chemistry, *E. coli* culture, and confocal microscopy, were performed by co-authors of this work published in [<http://dx.doi.org/10.1039/C005257D>] – Reproduced by permission of The Royal Society of Chemistry.

Hydrodynamic focusing addresses the problem of clogged channels by using laminar flow streams to provide virtual channels with flexible interfaces that can be much smaller than the physical dimensions of the solid channel. Biosensors employing hydrodynamic focusing have been reported for cell or particle detection [76], cytometry [77, 78], sorting [79] and mixing applications [80, 81]. Most incorporate optical analysis, usually fluorescence, for increased sensitivity and specificity. However, such systems include bulky optical components which are not easily integrated into lab-on-a-chip systems [82]. An alternative is to achieve target detection of species with techniques based on measurement of electrical signal, especially impedance.

Impedance measurements have been made with 4- and 2-electrode configurations, with each configuration providing unique information about the cell-electrode-electrolyte system. In 2-electrode measurements, current is passed between the same pair of electrodes as is used for the voltage measurement. Two-electrode measurements are very sensitive to changes at the electrode interface, but the formation of electrical double layer and other parasitic capacitances means that low frequency measurements are difficult with this setup [83-85]. In a 4-electrode system an oscillating signal is applied between the two outer electrodes and the impedance is measured across the two inner electrodes. Physical separation of the current and sensing electrodes in the 4-electrode configuration results in reduced parasitic double layer impedance, especially at lower frequencies [86]. Most impedance based biosensors in the literature use the 2-electrode configuration and only a few 4-electrode based systems have been reported [86-88].

A simple flow-focusing design is utilized, in which one sheath stream is used to focus a sample stream. By increasing the sheath-to-sample flow-rate ratio (FRR), the sample stream was focused along the sensing surface. Sensing was achieved using 2- and 4-electrode configurations, with an applied 10mV signal (1 kHz frequency). By choosing the sheath fluid to be non-conductive (deionized water) and the sample a conducting fluid (phosphate buffer saline or PBS), the injected current was confined to the focused stream.

## 5.2 Theory

The system involving sensing electrodes and bulk media can be represented with an equivalent circuit shown in Figure 5-1.  $R_b$  and  $C_b$  are the bulk resistance and capacitance of the conductive medium and  $C_{dl}$  represents the double layer capacitance at the sensing electrodes [89].

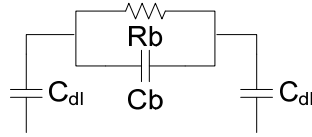


Figure 5-1: Equivalent circuit of electrode-electrolyte (medium) system; where  $R_b$  and  $C_b$  are the bulk resistance and capacitance of the conductive medium and  $C_{dl}$  represents the double layer capacitance at the sensing electrodes.

The main advantage of the 4-electrode setup is that the double layer capacitance at the current electrodes does not play a part since the current and sense electrodes are physically separated and therefore it can be ignored. The equivalent impedance ( $Z_{eq}$ ) consists of the  $R_b$  in parallel with  $C_b$  (Figure 5-2).

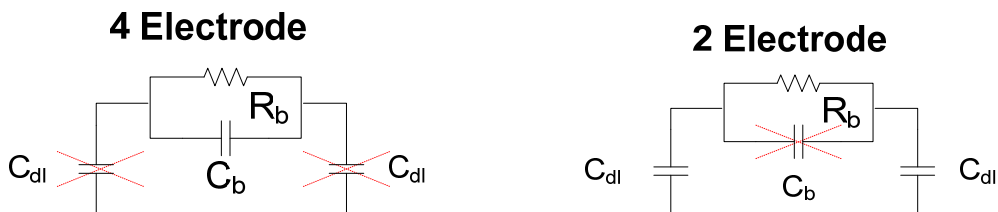


Figure 5-2: Modified equivalent circuits for 4- and 2-electrode measurement configurations.

The LCR meter measures the real  $R_M$  and imaginary  $X_M$  components of the equivalent circuit impedance,  $Z_{eq}$ ,

$$Z_{eq} = R_M + jX_M \quad \text{Equation 5-1}$$

Parallel combination of the bulk resistor and capacitor results in the following relationships, relating the bulk counterparts to the real and imaginary components.

$$R_M = \frac{R_b}{1 + \omega^2 R_b^2 C_b^2} \quad \text{Equation 5-2}$$

$$X_M = \frac{-\omega R_b^2 C_b}{1 + \omega^2 R_b^2 C_b^2} \quad \text{Equation 5-3}$$

The two equations can be solved to find the desired  $R_b$  and  $C_b$  values, using

$$R_b = \frac{R_M^2 + X_M^2}{R_M} \quad \text{Equation 5-4}$$

$$C_b = \frac{-X_M}{\omega(R_M^2 + X_M^2)} \quad \text{Equation 5-5}$$

In the 2-electrode system the current and sense electrodes are the same and therefore double layer capacitance cannot be ignored, especially at the low signal frequencies used in this study (1 kHz). In the simplest approximation,  $C_b$  is generally negligible as compared to  $C_{dl}$  and may be ignored. The equivalent circuit, as shown in Figure 5-2, thus includes  $R_b$  in series with  $C_{dl}$  (at each electrode). The resistance and capacitance measured by the LCR in this case are related to the circuit elements through

$$R_b = R_M \quad \text{Equation 5-6}$$

$$C_{dl} = \frac{-1}{\omega X_M} \quad \text{Equation 5-7}$$

### 5.2.1 Interfacial Impedance

The gold electrodes in this system are considered completely polarizable and thus pass no faradaic current. In addition, impedance measurements are performed with a small AC signal applied at equilibrium; thus the response is linear, and no charge-transfer reactions occur within the electrochemical system. When the electrodes are in

contact with the liquid electrolyte,, the electrodes attract ions and form a double layer across the electrode/electrolyte interface with a thickness on the order of angstroms. The double layer consists of a layer of ions that are specifically adsorbed to the surface of the electrodes and a diffuse layer, in which ions are dispersed perpendicularly away from the electrode surface due to thermal motion. The thickness of the diffuse layer increases in more dilute solutions. Since the adsorbed (ads) and diffuse (diff) layers are in series, the equivalent double layer (dl) capacitance can be described by the following equation

$$\frac{1}{C_{dl}} = \frac{1}{C_{ads}} + \frac{1}{C_{diff}} \quad \text{Equation 5-8}$$

At sufficiently high conductivities of electrolytes the thickness of the diffuse layer decreases ( $C_{diff}$  increases) [90] and

$$C_{dl} \approx C_{ads} \approx \frac{\epsilon_0 \epsilon_r A}{d} \quad \text{Equation 5-9}$$

The typical values are in the range of 10 to 40  $\mu\text{F}/\text{cm}^2$  [89, 91]. In 2-electrode configurations, in the absence of any Faradaic processes, the equivalent impedance is thus described by the series combination of double layer capacitance and solution (bulk) resistance.

The corresponding double layer impedance  $Z_{dl}$  is inversely proportional to capacitance as described by

$$Z_{dl} = \frac{1}{j\omega C_{dl}} \quad \text{Equation 5-10}$$

where  $j$  is the standard imaginary unit with the property  $j^2 = -1$  and  $\omega$  is angular frequency. Thus as the electrode area increases, the double layer capacitance increases and in turn the impedance decreases. For 4-electrode configurations, the contribution of the double layer capacitance is negligible and equivalent impedance is found by a parallel combination of bulk resistance and capacitance.

### 5.2.2 Bulk Impedance

Bulk resistance for coplanar electrodes is given by

$$R_{bulk} = \rho\kappa \quad \text{Equation 5-11}$$

where  $\rho$  is the measured resistivity of the focused stream,  $\kappa$  is geometric factor called the cell constant. This equation holds for the 2- and 4-electrode systems although the expression for cell constant is different in each case.

The bulk capacitance of the 4-electrode system is inversely proportional to its cell constant. The cell constant of the 4-electrode configuration can be estimated using a point-electrode model shown in Figure 5-3. The model consists of four electrodes that are located on the surface of the glass slide. The electrodes are in contact with the focused sample stream of thickness  $z$  which is sandwiched by the sheath stream from the top. The resistivity of glass  $\rho_{Glass}$  is assumed to be infinite for the purpose of this model.

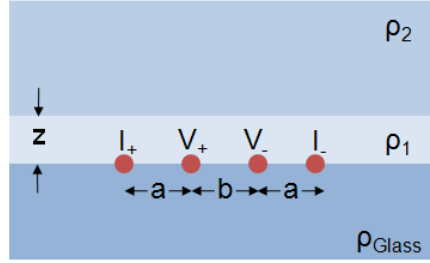


Figure 5-3: A point-electrode model for the tetrapolar configuration where the current is injected in the outer two electrodes and voltage is sensed from the inner two. The distance between current and sense electrodes is  $a$  and between sense electrodes is  $b$ . The conductive focused stream with resistivity  $\rho_1$  has a height  $x$ . The non-conductive sheath with resistivity  $\rho_2$  extends infinitely above the focused stream in this model.

Using the imaging method the cell constant can be approximated to the first order by

$$\kappa_{4pt} = \frac{1}{\pi} \left[ \frac{b}{a(a+b)} + 2\Gamma \left( \frac{1}{\sqrt{a^2+4z^2}} - \frac{1}{\sqrt{(a+b)^2+4z^2}} \right) \right] \quad \text{Equation 5-12}$$

where  $a$  is the distance between current and sense electrodes and  $b$  is the distance between sense electrodes [92]. The interface between the high conductivity focused stream and low conductivity sheath stream is seen as a semi-transparent mirror having a reflection coefficient  $\Gamma$  defined as

$$\Gamma = \frac{\rho_2 - \rho_1}{\rho_2 + \rho_1} \quad \text{Equation 5-13}$$

where  $\rho_1$  and  $\rho_2$  are the resistivities of sample and sheath fluids respectively [93]. In an aqueous solution, there is also a capacitive pathway for the current, which can be modeled as a capacitor in parallel with the resistance of the sample.



The bulk capacitance is given by

$$C_{bulk} = \frac{\epsilon_0 \epsilon_r}{\kappa_{4pt} t} \quad \text{Equation 5-14}$$

where  $\epsilon_0$  is the permittivity of vacuum and  $\epsilon_r$  is the relative permittivity of the conductive PBS [94]. The permittivity of ionic solutions is inversely proportional to conductivity and approaches the permittivity of water ( $\sim 78$ ) as the conductivity decreases [95, 96]. For the frequency range of 1k Hz used in our data, the permittivity can be assumed to be constant [95]. From Equations 5-11 and 5-12 it can be seen that as the thickness of the focused stream decreases, the resistance increases while Equation 5-14 suggests that bulk capacitance decreases as the focused layer thickness decreases. The cell constant for a 2-electrode model has been found using conformal mapping techniques elsewhere [94, 97].

### 5.3 Methods and Fabrication

#### 5.3.1 Electrode Fabrication

Standard photolithography techniques were used to fabricate the electrodes. Borosilicate glass microscope slides (Daigger, Vernon Hills, IL) were used as the substrate. The slides were thoroughly cleaned to allow good adhesion of electrodes to the glass surface. The slides were initially cleaned with HCl:MeOH 1:1 v:v for 30 minutes and then rinsed with water. This was followed by immersion in  $H_2SO_4$  for 30 minutes and then rinsing in water. Finally, the slides were placed in 100°C water for 10 minutes and dried with nitrogen.

Clean slides were dehydrated on a 150°C plate for 5 minute and subjected to  $O_2$  plasma for 4 minutes just before the photolithography step. A 1 $\mu$ m thick layer of negative photoresist (NR7 1000PY-Futurex, Franklin, NJ) was patterned using a transparency

mask (Pageworks, Boston, MA). An electron beam evaporator was used to deposit a film of gold (300 nm) onto the slides with a thin film of titanium (30 nm) as the adhesion layer. The electrodes were defined by photoresist lift-off in acetone. The current electrodes were 1000  $\mu\text{m}$  wide while the widths of the sense electrodes and the inter-electrode distances were both 500  $\mu\text{m}$ . A schematic of the electrode device is shown in Figure 5-4a.

### 5.3.2 Microchannel

The microchannel design used in this study had two inlets for the sheath and sample fluids and one outlet. The sheath inlet ( $0.5 \times 1 \text{ mm}^2$ ) was oriented at  $90^\circ$  with respect to the sample and focusing channels. The sample channel had a smaller cross-section ( $0.15 \times 0.3 \text{ mm}^2$ ) than the focusing channel ( $0.25 \times 1 \text{ mm}^2$ ); the sheath fluid focused the sample from the sides as well as the top with this geometry. The length of the channel from the sample inlet to the outlet was 30 mm.

The devices were milled from polymethyl methacrylate (PMMA) (Plexiglas G, Atofina Chemical, Inc. Philadelphia, PA) using a HAAS Mini Mill (HAAS Automation, Inc., Oxnard, CA). The sheath inlet and the focusing channel were machined with a 0.254mm long-reach endmill, and a 0.787mm endmill respectively. The sample channel was machined with a 0.254mm endmill (Harvey Tool, Rowley, MA). A 0.5mm wide trench was milled around the microchannel and inlets using a 0.381mm endmill. This trench prevents the glue from running into the microchannel [98]. A bench top drill press was used to widen the upper half of the inlets and outlet where 0.58mm wide metal tubing was inserted and glued into place using 5-minute epoxy (Devcon, Danvers, MA).

The PMMA pieces with milled microchannels and metal tubing were glued to the microscope slides with prepared electrodes and antibodies using UV-curable adhesive (Optical adhesive #72, Norland Products, Cranbury, NJ). Figure 5-4b shows the representation of a fully assembled device.

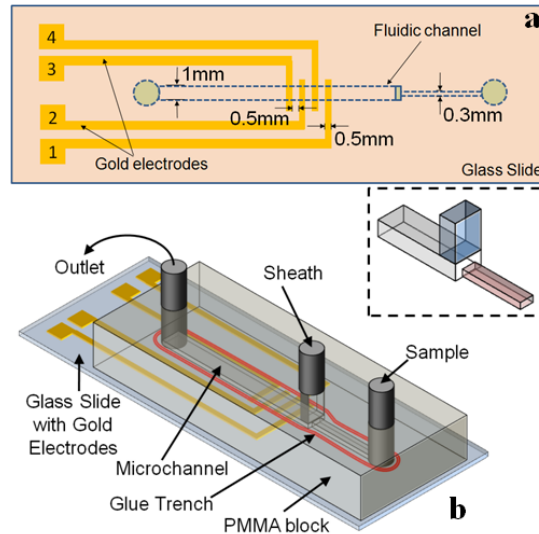


Figure 5-4: (a) A top-view schematic of the gold electrodes and microfluidic channel, along with their corresponding dimensions. Outer electrodes (1 and 3) supply current while the inner two (2 and 4) sense the signal. The width of the sensing electrodes as well as the spacing between them is 0.5 mm while the current electrodes are 1mm wide. The sample channel width is 0.3mm which increases to 1mm in the focusing channel. (b) Figure of an assembled microchannel and electrodes. The channel machined in PMMA is glued to the glass slide using a UV curable glue. The inset shows the channel junction where sheath and sample streams meet.

### 5.3.3 Immobilization Chemistry

The electrode patterned slides were cleaned with  $O_2$  plasma for 2 minutes prior to protein immobilization. To immobilize the antibody directly onto the gold electrode, the procedure used by Chatrathi et al. was employed [99]. Briefly, 250  $\mu$ g of goat anti-*E. coli* antibody (2 mg/ml in PBS, Fitzgerald Industries International, Concord, MA) was incubated with 40 $\mu$ l 20 mM sulfo-LC-SPDP (Pierce, Rockford, IL) for 60 minutes at room temperature with mixing. To the mixture, 150 mM DTT in acetate buffer pH 4.5 was

added and incubated with mixing for 30 min. The entire mixture was then exposed to the gold electrodes for 60 minutes. The slides were rinsed with water, dried, and stored at 4°C until use.

#### **5.3.4 E. coli Assay Preparation**

The cells used for this study were *E. coli* rosetta and were cultured in LB broth with 1% glucose and 0.1% ampicillin. For the assays, 1.5 mL of cell culture was spun down in an Eppendorf tube at 3000 rpm for 5 min. The supernatant was removed and 1 mL 50 mM borate buffer pH 8.0 was added to the cells. A tube of Cy3 monoreactive succinimide ester (GE Health care, Piscataway, NJ) was dissolved in 50  $\mu$ L DMSO. Fifteen microliters of the dye was added to the cell solution and the sample was incubated for 30 min at room temperature in the dark with shaking. The cells were respun and the supernatant was removed. The cells were washed 3 times with PBS pH 7.4 (Sigma Chemical, St Louis, MO) and stored in 1 ml PBS at 4°C until use. The cells were used within 24 hours. Cell concentrations were typically  $10^9$  cfu/ml.

#### **5.3.5 Flow Simulations**

Finite element modeling of the channels was performed using the COMSOL Multiphysics finite element analysis package (COMSOL Inc., Palo Alto CA). The channel dimensions in the model were chosen to be identical to the ones used in experiment. However, in order to reduce computation time, only half of the width of the channel was used in simulations, assuming channel symmetry.

Relative sample and sheath flow rates were varied to simulate changing cross-sectional area of the focused stream. The simulations were conducted in two steps. In the first step, the flow model was solved for incompressible flow. A zero-slip velocity boundary condition was assumed on the channel walls. The inlet boundary conditions

were specified by the desired volumetric flow rate, and outlet boundary conditions were fixed at atmospheric pressure. Flow in the inlets was specified to be fully developed. After the velocity field was determined, the second step simulated mass transport to provide the concentration distribution, assuming a diffusion coefficient typical of a low molecular weight solute ( $1 \times 10^{-9} \text{ m}^2/\text{s}$ ). The presence of conducting ions in sample stream had an initial concentration of 1 in the diffusion/convection simulation. Similarly, the initial concentration of the sheath stream, which was devoid of ions, was chosen to be 0. An automesh with tetrahedral elements was used for all simulations. In order to accurately resolve the mass transport along the interface between the sheath and sample streams, adaptive meshing was used to increase mesh density in subsequent simulations. The mesh refinement process was repeated until no change in result was observed (h-method). It was assumed that there were no chemical reactions and the ionic nature of the sample does not affect the outcome of simulations; therefore the only factors affecting the distribution of the model species were diffusion and convection. The details of the multiphysics modules and the equations used to define flow and mass transport characteristics were previously [88].

### **5.3.6 Confocal Microscopy**

Visualization of flow focusing in the channel was performed using a Nikon Eclipse TE2000-E inverted microscope equipped with a Nikon D-Eclipse C1si confocal spectral imaging system (Nikon, Japan). Confocal images were obtained by scanning in the region downstream from where the sheath and sample streams intersect. The hydrodynamic focusing experiments were performed using de-ionized water for the sheath flow and de-ionized water mixed with FWT Red Powder fluorescent dye (Bright Dyes, Miamisburg, OH) for visualization of the flow from sample stream inlet.

A dual syringe pump (Harvard Apparatus Model 33) provided precise control of the flow rates and flow-rate ratios. Confocal microscopy was performed using a 10X objective (NA 0.45, WD 4.00 Dry). Image resolution was 512 x 512 pixels, with a Z-step size spacing of 5  $\mu\text{m}$  and a pixel dwell time of 7.06  $\mu\text{sec}$ . A 40 mW Argon laser was used at the 514.5 nm excitation line, and the spectral detector of the confocal imaging system was set to detect emission between 583-593 nm. Image stacks were rendered and analyzed in three-dimensions using NIS-Elements AR confocal image processing software (Nikon, Japan).

### 5.3.7 Electrical Impedance Measurements

An Agilent 4284A LCR meter was used to perform all 2- and 4-electrode impedance measurements using a 10 mV, 1 kHz signal. For the 2-electrode system, the current was applied and the response was measured from the inner two electrodes. Baseline measurements were performed after bovine serum albumin (BSA) was passed through the channel to prevent nonspecific binding. Phosphate buffer solution (PBS) with a conductivity of 12.8 mS/cm was used as the sample fluid, and deionized (DI) water with a conductivity of 0.08 mS/cm was used as the sheath fluid. Impedance data was collected at different sheath-to-sample flow-rate ratios (FRRs) and flow velocities. In order to increase the focusing, the FRR was increased by increasing the sheath flow rate while the sample flow rate remained constant at 2  $\mu\text{L}/\text{min}$ . In other cases, flow rates of both sheath and sample were increased proportionally to maintain a FRR of 50. A Labview program was developed to control the syringe pump and automate data collection from the LCR meter. After the baseline measurements were completed, *E. coli* was passed through the channel and allowed to settle and bind for 20 minutes to the immobilized antibodies. Unbound bacteria were flushed out of the channel, and impedance measurements were conducted. Both baseline and *E. coli* measurements

were repeated three times. Resistance and reactance values were extracted from the measured impedance data.

## **5.4 Results and Discussion**

### **5.4.1 COMSOL Simulations and Confocal Microscopy**

Figure 5-5 illustrates transverse slices of the COMSOL simulations of a microfluidic channel for FRRs of 25 and 100. Since only half of the channel was simulated, the cross-sections were mirrored and stitched to allow easier comparison with confocal images. All cross-sections were taken 3mm downstream from sheath inlet. The electrodes are positioned at the bottom surface along the length of the channel. The concentration value of 0 of the nonconductive sheath fluid is shown as blue and the concentration value of 1 of the conductive sample fluid is shown as red. The intermediate colors signify the diffusion between the two streams. Figure 5-5 shows confocal microscopy images of an actual channel with a 0.25 mm height and 1 mm width. The decrease in image brightness in confocal images is attributed to the diffusion between the sheath and sample fluids.

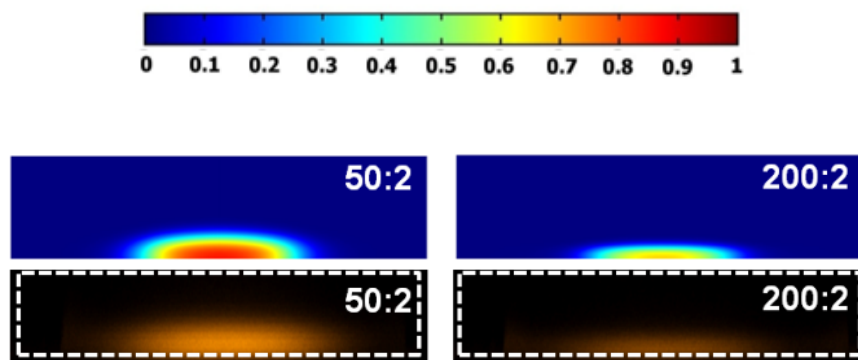


Figure 5-5: COMSOL simulations (top) and the corresponding cross-section images from confocal microscopy (bottom) with sheath-to-sample flow-rates of 50:2 and 200:2 (in  $\mu\text{L}/\text{min}$ ). The same channel was used for the confocal experiment in both cases. The outline shows the boundary of the channel. The diffusion co-efficient for the simulations was  $10^9 \text{ m}^2/\text{s}$ . The concentration is specified by the color bar with 0 (blue) as the minimum and 1 (red) as the maximum. The intermediate colors show diffusion region between the sheath and sample streams. The confocal cross-sections were taken 3mm downstream from the junction where sheath and sample streams met.

The channel cross-sections from simulations and confocal demonstrate how the sheath fluid focused the sample stream from both sides and the top, resulting in a half ellipse-shaped focused stream. Furthermore, both modes of investigation show that an increase in FRR resulted in greater flow focusing toward the electrode surface. The degree of focusing at a specified FRR was roughly the same for both simulations and confocal experiments. The width of the focused stream remained fairly constant due to the geometry of the channel but the change in the height was significant. Thus the effective cross-section of the sample fluid was significantly reduced without minimizing the physical dimensions of the channel.

As the FRR became higher, the sample stream was focused more and thus pushed closer to the bottom channel wall. The focused layer heights for FRR of 25 and 100 were roughly  $64\mu\text{m}$  and  $30\mu\text{m}$  respectively. The velocity profiles for the flow in the focusing channel are parabolic. Although the fluid was flowing faster and the residence time was



smaller when FRR was 100, the characteristic length over which the diffusion took place was also more significant as compared to focused layer height. The higher FRR resulted in a smaller cross-sectional stream with lower overall concentration of sample electrolyte. In confocal images, this lowering of sample concentration manifested as a reduction in brightness of the focused region for 200:2  $\mu\text{L}/\text{min}$  as compared to 50:2  $\mu\text{L}/\text{min}$  and was also accurately predicted by the simulations (Figure 5-5).

Diffusion in parallel laminar flow streams inside microchannels has been studied previously [100-102] and has also been used for biosensing applications [103, 104]. However, for impedance sensors that rely on flow-focusing for enhancement of detection sensitivity, this diffusion has deleterious effects. The electric field confinement relies on a sharp gradient between the conducting sample and non-conducting sheath streams. Therefore, any mixing due to transverse diffusion between sheath and sample fluids reduces the confinement of the electric field. The overall effect is a loss in detection sensitivity.

#### **5.4.2 Baseline and *E. coli* Measurements**

Baseline and *E. coli* measurements were performed using 2- and 4-electrode configurations at different FRRs (25, 50, and 100). The resistance and reactance components of the impedance were measured using the LCR meter, and resistance and capacitance values were extracted assuming parallel RC circuit for the 4-electrode case and series RC circuit for the 2-electrode case.

Table 5-1 and Table 5-2 list mean values and standard deviations of three repeated impedance measurements using the 2- and 4-electrode configurations.

Table 5-1: Baseline and *E. coli* resistance and capacitance values for the 2-electrode configuration sensor

FRR	Bulk Resistance (kΩ), R			Interfacial Capacitance (nF), C		
	Baseline	<i>E. coli</i>	%Δ	Baseline	<i>E. coli</i>	%Δ
50:2	33.1 ± 0.6	37.5 ± 0.3	13.5%	16.2 ± 1.0	14.0 ± 1.0	-13.9%
100:2	52.9 ± 0.8	58.8 ± 0.5	11.2%	12.4 ± 0.1	11.1 ± 0.1	-10.2%
200:2	79.3 ± 0.7	85.6 ± 2.2	8.0%	9.2 ± 0.1	9.0 ± 0.2	-2.3%

Table 5-2: Baseline and *E. coli* resistance and capacitance values for the 4-electrode configuration sensor

FRR	Bulk Resistance (kΩ), R			Bulk Capacitance (nF), C		
	Baseline	<i>E. coli</i>	%Δ	Baseline	<i>E. coli</i>	%Δ
50:2	31.5 ± 0.7	38.1 ± 1.0	20.8%	1.36 ± 0.02	1.31 ± 0.02	-3.7%
100:2	53.0 ± 0.5	61.2 ± 0.2	15.6%	1.29 ± 0.01	1.26 ± 0.01	-2.3%
200:2	78.6 ± 1.3	90.3 ± 0.9	14.8%	1.20 ± 0.01	1.18 ± 0.01	-1.7%

The percent change in resistance and capacitance due to the presence of *E. coli* was calculated using

$$\% \Delta = \left( \frac{E.coli - Baseline}{Baseline} \right) \times 100 \quad \text{Equation 5-15}$$

For both the 2- and 4- electrode baseline measurements, as the sample fluid became more focused at higher FRRs, the measured resistance values increased. This is expected since the cross-sectional area of the focused stream decreases thus causing an increase in resistance. In addition, resistivity also depends on the number and types of ions in solution, as was experimentally demonstrated by Larsen, et al. [105].

Therefore, the diffusion between the sample and sheath fluids contributed to a further increase in resistance.

For the 2-electrode baseline measurements, the reduction in interfacial capacitance with increased FRR was mostly due to the decrease in the width of the focused stream which effectively decreased the electrode area as described by Equation 5-8. In the 4-electrode system, the slight decrease in the bulk capacitance was attributed to an increase in cell constant (Equation 5-14). As seen from Equation 5-12, the cell constant is inversely proportional to the focused layer height, which decreased with increasing FRR.

The resistance and capacitance values with immobilized *E. coli* followed the same trend as the baseline measurements. The presence of *E. coli* caused an increase in the resistance and a decrease in capacitance in both configurations. Bacteria and other cells act as insulators due to the impermeability of cell membrane at low frequencies [106]. The increase in resistance demonstrated that the *E. coli* were insulating and partially blocking the current paths on the electrodes, and thus contributed an additional impedance component to the system. The presence of insulating bacteria resulted in a decrease in capacitance which was due to a decrease in the effective electrode area as per Equation 5-8. The two-electrode system was more sensitive to changes at the electrode surface, as is highlighted by a greater change in capacitance due to the presence of the *E. coli* cells on the electrodes.

Interestingly, when the FRR was increased, the percent change in resistance and capacitance decreased. This effect is attributed to the diffusion of ions from the conducting stream into the non-conducting sheath fluid. As shown earlier, the amount of diffusion was roughly the same, but the characteristic length over which the diffusion occurred became more significant as the cross-sectional area of the focused stream decreased. This increased the bulk resistance and competed with the insulating effect of

the *E. coli*. The overall effect was a decrease in percent change in resistance as FRR increased. From Equations 5-11 and 5-14, it can be seen that an increase in resistivity also caused an increase in the capacitance which counteracted the decrease in capacitance due to presence of cells on the electrodes. Overall, the percent change in capacitance decreased slightly as FRR was increased. Thus as the sample fluid became more focused, the diffusion had more impact on the measured data (also seen in Figure 5-5).

The amount of diffusion between the sheath and sample is dictated by the residence time or the time sheath and sample streams are in contact with each other and the distance over which transverse diffusion takes place. As the FRR increased, fluid flowed faster in the channel and decreased the residence time and, therefore, the diffusion of the ions out of the sample fluid. At the same time, increasing the FRR pushed the focused stream closer to the channel wall decreasing the mean distance of an ion to the sample-sheath interface.

In order to delineate these two phenomena, confocal microscopy, simulations and impedance measurements were performed for cases with same FRR but with increasing sheath and sample flow velocities. As the fluid flowed faster, the residence time decreased and resulted in less diffusion within a fixed distance. Figure 5-6 shows confocal images of sample only, and sheath-to-sample flow rates of 50:1, 100:2 and 200:4  $\mu\text{L}/\text{min}$ . All three cases have FRR equal to 50; however, the sheath and sample fluids of the 200:4 ratio were flowing 4-times faster (13.6 mm/s).

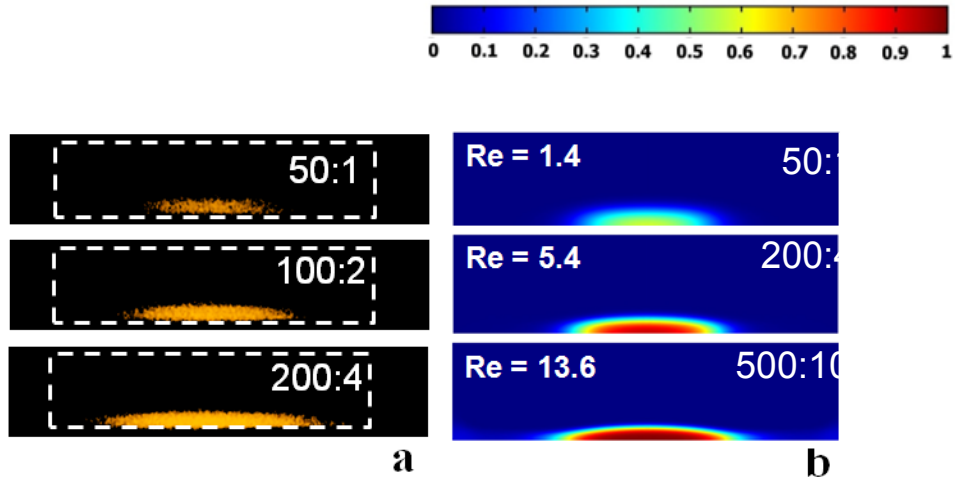


Figure 5-6: (a) Confocal images for sheath-to-sample flow rates (in  $\mu\text{L}/\text{min}$ ) of 50:1, 100:2 and 200:4 (FRR = 50) are shown. The contrast was adjusted by same measure for all cross-sections to allow easier visualization of focused stream. (b) Channel cross-sections show concentration distributions from COMSOL simulations. Flow velocities of both sheath and sample streams were increased proportionally to maintain the FRR at 50. The diffusion coefficient was  $1 \times 10^{-9} \text{ m}^2/\text{s}$  for all cases. The concentrations are specified by the color bar with 0 (blue) as the minimum and 1 (red) as the maximum.

Both confocal images and simulations show that there was relatively little change in focused stream height since the FRR was unchanged. The results also showed that more diffusion occurred when the fluids were flowing slower, as signified by the loss in brightness of the focused region at slower flow velocities. COMSOL simulation results further confirmed this finding. Based on the results shown in Figure 5-5 and Figure 5-6, it is clear that in order to improve the detection sensitivity, without introducing the deleterious effects of diffusion, both the FRR and absolute flow velocities must be increased simultaneously.

At the highest flow velocities, 200:4  $\mu\text{L}/\text{min}$  and 500:10  $\mu\text{L}/\text{min}$  in Figure 5-6, as the  $Re$  increased, the sheath stream lost its ability to focus the sample stream from the sides and this resulted in widening of the focused stream along the bottom surface of the channel wall. A further increase in the flow velocities led to the splitting of the sample

stream into two focused streams. The optimal condition for this design to provide maximum focusing but with the least effect of diffusion appears to be for sheath-to-sample flow rates of 100:2  $\mu\text{L}/\text{min}$  (FRR = 50,  $\text{Re} = 2.7$ ). The diffusion between sheath and sample fluid can also be reduced by using ionic species with slower diffusion coefficients or by using fluids that are immiscible i.e. two-phase. However, two-phase parallel flows are only possible in narrow FRR regime and more generally result in droplet formation [107].

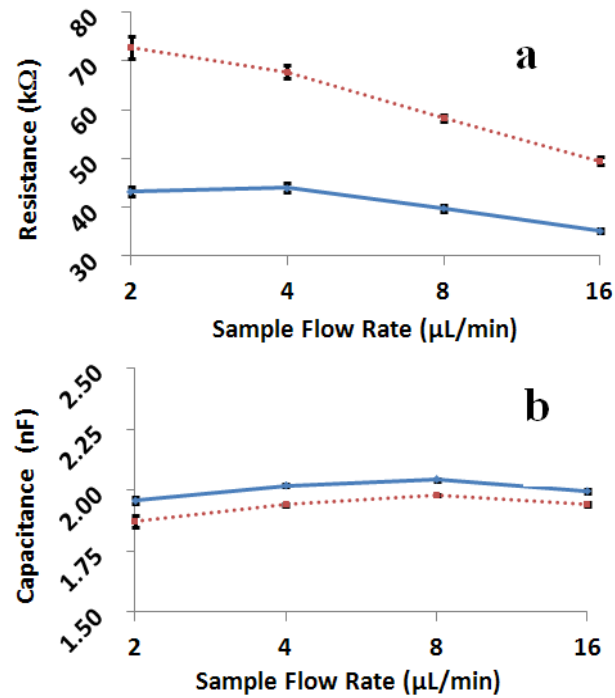


Figure 5-7: Sample and sheath flow rates were increased while keeping the FRRs constant (--■-- = FRR of 50, —◆— = FRR of 25). Sheath flow rates are:  $\text{FRR} \times \text{Sample flow rate}$ . A 4-electrode configuration was used to measure (a) resistance and (b) capacitance.

Impedance measurements were performed at FRRs of 25 and 50 using the 4-electrode configuration. The sample flow rates were set to 2, 4, 8, and 16  $\mu\text{L}/\text{min}$ , and the sheath flow rates were increased accordingly to obtain the corresponding FRR. The

plot in Figure 5-7 shows that the resistance decreased as flow velocities increased due to a decrease in diffusion. The values of capacitance were largely unaffected.

## 5.5 Conclusions

A two-dimensional (2D) flow focusing technique was implemented for a modified T-junction design. Two- and four-electrode configurations for impedance measurements were characterized for detection sensitivity. Measuring a change in resistance using the 4-electrode configuration was the most sensitive technique to detect the presence of *E. coli* at low frequencies in a flow focusing system. The 2-electrode technique showed a greater percent change in capacitance than the 4-electrode sensor because the cells were bound to the electrode surface and the 2-electrode configuration is more sensitive to changes at the electrode interface. The fact that the percent change in impedance decreased with increasing FRRs indicated that the presence of the bacteria became less significant with increased focusing, even though they represented a higher proportion of the cross-sectional area of the conducting stream. This effect was attributed to the increased effect of the diffusion of ions out of the focused stream as the focused stream height decreased. One way to reduce diffusion was to increase the actual sheath and sample flow velocities in addition to increasing the FRR. Diffusion must be properly controlled in order to prevent a loss in detection sensitivity using flow focusing and impedance measurements.

## Chapter 6 Multi-Device, Multi-Electrode Bio-Impedance System

### 6.1 Introduction

Impedance spectroscopy (or bio-impedance) offers a non-destructive, non-invasive, label-free technique to continuously monitor and quantitatively characterize biological cells. This technique, applied to adherent cells, is also referred to as electric cell-substrate impedance sensing (ECIS). Researchers Giaever and Keese pioneered the design and development of the ECIS technique and system [108]. In this technique, a small AC signal is applied, and the resulting voltage or current is measured across a pair of electrodes. The impedance of the system is calculated to obtain frequency-dependent data of the electrode-cell system. When cells attach and spread onto the electrodes, their insulating membranes cause an increase in impedance. Strikingly, changes in cell morphology, viability, death, and micromotion can be detected with high sensitivity, even prior to changes observed microscopically [2, 8].

The majority of electrode designs for the ECIS system and similar impedance-based microelectrode devices contain (1) a single electrode [4, 74], (2) parallel (connected) electrodes [17, 56], or (3) interdigitated electrodes (IDEs) [109, 110]. The IDEs and parallel electrodes cover more surface area and measure a greater quantity of cells thus resulting in an averaging of the changes across the cell culture. On contrast, the single electrode design typically only covers a very small percentage of the cell culture area and thus, does not provide encompassing data of the cell culture in its entirety.

Therefore, a major challenge in ECIS is the collection of statistically-significant data from



single culture wells. A solution to this problem is via incorporation of independent electrodes within a cell culture to enhance the spatial resolution and statistical analyses of impedance measurements.

Few designs have implemented multiple independent electrode measurements within a cell culture. Wegener et al. [111], for example, designed a device with a row of 4 independent working electrodes (2 mm-diameter) to conduct trans-epithelial and trans-endothelial electrical resistance (TER) measurements of cell cultures. Their multi-electrode design allows one to measure local inhomogeneities within the cell culture [111]. Similarly, Arndt et al. [9], developed a device with 3-independent electrodes (4 mm-diameter) on a microscope slide. In both studies, quantitative analyses and comparisons of the individual electrodes were not demonstrated. Additionally, the large sensing (working) electrodes result in a greater averaging effect than if microelectrodes were used.

Giaever and Keese have developed the ECIS technique into a commercial system (available through Applied Biophysics) that allows real-time impedance measurements inside a humidified incubator. A variety of electrode designs are offered, including single electrode devices, IDEs, and a device with 2 independent working electrodes (250  $\mu\text{m}$ ) within a cell culture chamber. While it is a well-known and widely accepted technique [2, 5, 6, 8, 9, 11, 14, 17, 112], its shortcomings include limited frequency range, limited number of acquired data points, and minimal spatial resolution. In the commercial ECIS system, the high end of the frequency range is limited by the presence of the passivation layer over the unexposed gold traces. This coating results in a parasitic capacitance at higher frequencies, where current preferentially travels through the least resistive pathway [53].

Our approach to enhance spatial resolution and statistically significant data acquisition is to increase the number of independent working electrodes to obtain redundant impedance datasets within the same cell culture chamber. Herein, we present an evaluation of a cell culture chamber with eight independent sensing electrodes to improve statistical significance of measured data and obtain position-dependent data across the cell culture. A switching circuit was designed to control four separate wells to simultaneously evaluate multiple cell cultures and variables. One challenge in implementing a multi-device, multi-electrode system is analyzing the large datasets, especially frequency-dependent data. A Matlab program was designed to automate data analysis and model the data with corresponding (cell or cell-free) equivalent circuit models. The system was evaluated by investigating the effects of a cytotoxic agent, arsenic trioxide ( $\text{As}_2\text{O}_3$ ), on the well-established ovarian carcinoma cell line.

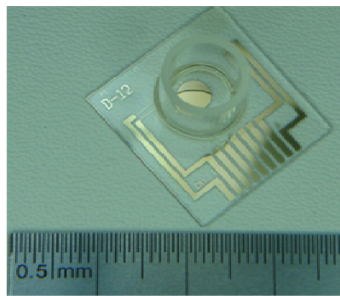
## **6.2 Methods and Materials**

### **6.2.1 Cell Culture**

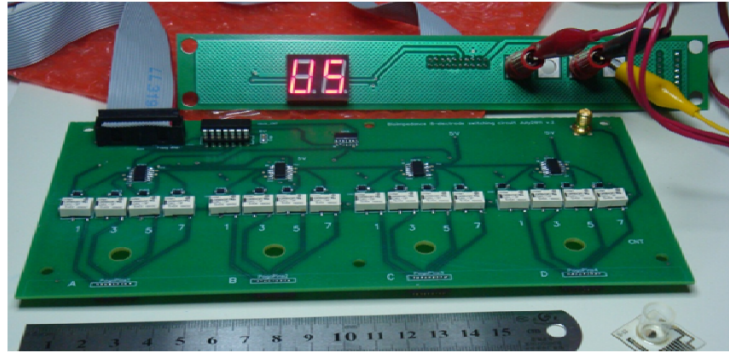
HEY ovarian carcinoma cells were kindly provided by Dr. Gordon Mills (MD Anderson Cancer Centre, Houston, Texas). The cell line was cultured in RPMI 1640, supplemented with 8% FBS and penicillin/streptomycin. The cells were maintained in a humidified incubator containing 95% air and 5%  $\text{CO}_2$  at  $37^\circ\text{C}$ . For all experiments, cells were detached from flasks with trypsin-EDTA solution and seeded into the electrode devices at a concentration of 500,000 cells/mL prior to any treatment with drugs. Arsenic trioxide ( $\text{As}_2\text{O}_3$ ) was obtained from Sigma-Aldrich. Cells were treated with varying doses of  $\text{As}_2\text{O}_3$  (0-50 $\mu\text{M}$ ).

## 6.2.2 Fabrication of 8-Electrode Arrays

Four-inch glass wafers were solvent cleaned (acetone and methanol) and dried with nitrogen. The first photolithography process, with NR1-3000PY (Futurrex) negative photoresist, was performed to define patterns in the resist in the shape of the electrode device. Chromium (150 Å) and gold (350 Å) were deposited onto the wafers using an e-beam evaporator. Subsequently, a lift-off was performed with acetone to remove the resist and the metal on it, leaving metal only on the defined areas of the wafer. The wafers were descummed in oxygen plasma to remove any resist residue. Next, the wafers were solvent cleaned and dehydrated, in preparation for the second lithography step. This lithography step is performed to passivate the traces with SU8-5 and define the working electrodes. The lithography ensured that the 250µm-diameter working electrodes (sensors), counter electrode, and contact pads are exposed. The wafers were descummed in oxygen plasma at 50 Watts for 2 minutes to remove any resist residue and to activate the plasma to enhance biocompatibility [113]. The wafers were then hard baked then diced into individual devices, 20 mm x 21 mm. Cloning cylinders (10 mm-diameter) were attached to define the culture well around the electrodes. SU8-5 was also used to attach the cylinders and form a tight seal. The devices, with secured cylinders, were cleaned with IPA and descummed in oxygen plasma to remove any debris. Lastly, the devices were autoclaved for five minutes to sterilize the devices in preparation for cell culture. Figure 6-1(a) shows a photograph of the fabricated device.



(a)



(b)

Figure 6-1: Photographs of (a) fabricated electrode device with attached cloning cylinder and (b) power board and switching circuit before being enclosed in aluminum box.

### 6.2.3 Switch Circuit

A switching circuit (Figure 6-1(b)) was designed to rapidly and continuously measure four separate electrode devices containing eight independent working electrodes. The circuit was controlled by a Microchip PIC16F688 microcontroller that controlled five shift registers (Fairchild Semiconductor, 74VHC164), which in turn actuated 36 SPST (Omron, G6L-1F) relays. Thirty-two relays were used to control each working electrode (8x4) and the remaining four relays controlled the counter electrode of each device. The relays were connected to four separate nine-pin pogo pin headers (Mill-Max), which served as the interface between the switching circuit and the contact pads of the electrode devices. An SMA connector/cable was used to transmit the working and counter electrode signals to and from the Agilent 4294A impedance analyzer. After the circuit board was populated, it was covered with an acrylic conformal coating (Techspray, 2108-12S) to protect it from the humidity within the incubator; and finally it was mounted inside of an aluminum box. A separate PCB board, connecting the power supply, ground, and trigger, was positioned outside of the incubator and connected to the switching circuit via a ribbon cable. The outside power board also contained a two-digit LED display to indicate the active electrode being measured.

#### 6.2.4 Impedance Measurements

Impedance measurements were performed with an Agilent 4294A impedance analyzer across a frequency range of 500 Hz to 100 kHz at 5 mV. System parameters including solution resistance, double layer capacitance, cell resistance, and cell membrane capacitance were extracted using equivalent circuit modeling and CNLS fitting algorithm.

#### 6.3 Impedance Theory

Measurements of cells (bio-impedance measurements) are performed with a small AC signal (< 25 mV) across a variety of frequencies ranges. The use of small applied signals allows (1) non-invasive and non-destructive measurements of biological cells/tissues and (2) confinement of measurements within a pseudo-linear region. Typically a constant voltage (<25 mV) or current (~1  $\mu$ A) is applied across the electrodes, and the resulting current or voltage is measured. Impedance is calculated according to Ohm's Law:  $V = IZ$ .

Equivalent circuit modeling was used to extract system information and required multi-frequency data points to extract system parameters. When adherent cells are present, at lower frequencies, characteristics of the electrode interface (double layer capacitance) are prominent; at mid-frequencies, cellular characteristics are dominant; and at higher frequencies, properties of the electrolyte (solution resistance) dominate. Therefore, it is important to obtain a wide range of frequency-dependent data. One of the most common forms of frequency-dependent presentation is the bode plot, in which the magnitude of the impedance and phase is plotted as a function of frequency on a log scale.

The bode plot explicitly shows the frequency at which each data point was taken. This form of data presentation can be used to extract the parameters of the measured system, such as solution resistance, electrode polarization impedance, and cell resistance and capacitance.

When there are no cells present, a single dispersion is seen in the bode plot; and when cells are present on the electrode surface, a second dispersion develops, relevant to cellular characteristics. A slope in the bode magnitude diagram accompanied by a change of phase in the bode-phase plot is referred to as a dispersion. When adherent cells are present in the system, there is a noticeable increase in impedance in the mid-frequency range of the bode plot because the cell membranes act as insulators and 'impede' current flow.

The equivalent circuits used to model the measured data (with and without cells) are illustrated in Figure 6-2(a)-(b). Constant phase elements (CPEs) are used in place of a simple capacitor because the double layer capacitance at the electrode/electrolyte interface is not well-defined by an ideal, simple capacitor. A frequency dispersion exists at the interface; and therefore, system capacitance is better expressed as a CPE. The CPE takes into account non-ideal properties such as surface roughness and heterogeneities, electrode porosity, coating composition, slow adsorption reactions, non-uniform potential and current distributions [30]. Equation 6-1 expresses the impedance of an ideal capacitor, as compared to Equation 6-2, which expresses the impedance of a CPE.

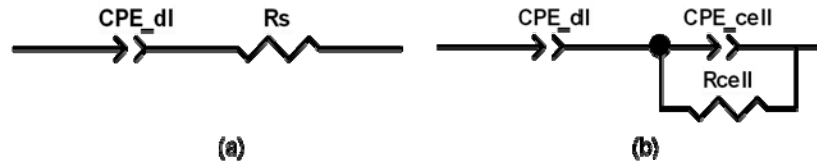


Figure 6-2: Equivalent circuit used to model (a) cell-free or non-adherent cell data; (b) adherent cell data. Constant phase elements (CPE) are used to account for the non-ideal nature of the system.

$$Z_C = \frac{1}{j\omega C} \quad \text{Equation 6-1}$$

$$Z_{CPE} = \frac{1}{Y(j\omega)^n} \quad \text{Equation 6-2}$$

where  $\omega = 2\pi f$ ,  $C$  is the ideal capacitance,  $Y$  is the CPE, and  $n$  is a factor between 0 and 1. When  $n = 1$ ,  $Z_{CPE} = Z_C$ . The phase angle of  $Z_{CPE}$  is equal to  $-90 \cdot n$  in degrees.

## 6.4 Results and Discussion

### 6.4.1 Baseline Impedance Measurements

Before cell measurements were performed, baseline measurements were conducted with potassium chloride (KCl) to determine the variance among electrodes. This is an important step to confirm that changes in impedance are a function of cell presence, and not electrode variation. An example of KCl measured in one of the devices is shown in Figure 6-3. At low frequencies, properties of the electrode-solution interface are seen, particularly electrode polarization impedance (double-layer capacitance). In the bode magnitude plot (left-axis) it is indicated by a slope. At higher frequencies, the plateau signifies the solution resistance. The phase (right-axis) follows the magnitude plot as it increases from -80 degrees (capacitive) at lower frequencies to less than 15 degrees (resistive) at higher frequencies. Negligible variation was detected between electrodes.

The KCl data was modeled with the equivalent circuit in Figure 6-2a. The average solution resistance and double layer capacitance of eight electrodes in one device was  $1.72 \pm 0.04 \text{ k}\Omega$  and  $44.54 \pm 3.21 \text{ nF}$ , respectively. This was common among all the devices. This shows the consistency among the electrodes themselves.

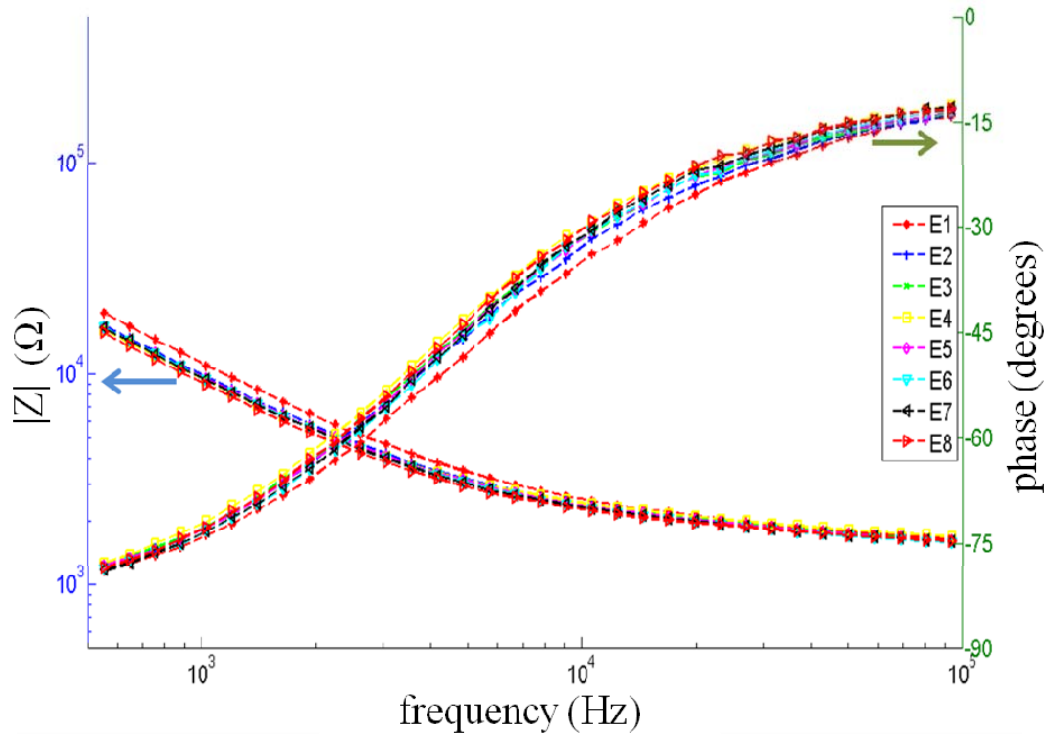


Figure 6-3: Bode plot (magnitude impedance and phase vs. frequency) of baseline measurements using potassium chloride (KCl), showing minimal variation between the eight electrodes of a single device.

#### 6.4.2 Impedance Measurements of Ovarian Cancer Cells

HEY ovarian cancer cells were then seeded onto four electrode devices, each designated for 0, 10, 25, and 50  $\mu\text{M}$   $\text{As}_2\text{O}_3$ . The cells were allowed to settle and adhere to the electrodes 24 hours before the introduction of  $\text{As}_2\text{O}_3$ . Shortly after  $\text{As}_2\text{O}_3$  was added to the cells, impedance measurements commenced inside the incubator. Figure 6-4 shows an impedance vs. time plot (averaged across the eight electrodes) illustrating the effects of varying concentrations of the cytotoxic agent on the cells. The variation



seen in the measured data highlights the importance of performing multiple measurements within a cell culture. The inset in Figure 6-4 shows an impedance vs. time plot of the 10  $\mu\text{M}$   $\text{As}_2\text{O}_3$  device, illustrating the individual impedances of the eight independent electrodes. Two subsets of data are seen in the inset. The impedance of electrodes #1, 2 and 8 is slightly higher than that of the remaining electrodes (#3, 4, 5, 6, and 7). According to the layout of the electrode device, electrodes 1, 2, and 8 are adjacent to each other in the circular array. This demonstrates the enhanced spatial-resolution of this electrode design by quantitatively illustrating that cells had stronger adherence and tight junctions within a location-specific area of the cell culture.

In the main plot, the standard deviation (error bars) show the variance among the independent electrodes for all concentrations of  $\text{As}_2\text{O}_3$ . As the concentrations increase, the magnitude of the standard deviations decreases. The average standard deviations of the impedance over the 24 hour time frame were 2.38, 2.62, 1.03, and 0.35  $\text{k}\Omega$  for the 0, 10, 25, and 50  $\mu\text{M}$  concentrations respectively. This illustrates the variance of viable cell cultures. As cells begin to die and detach from the electrode surface, the variance decreases since there is less variability across a cell-free electrode. This is also demonstrated by the baseline KCl measurements discussed previously.

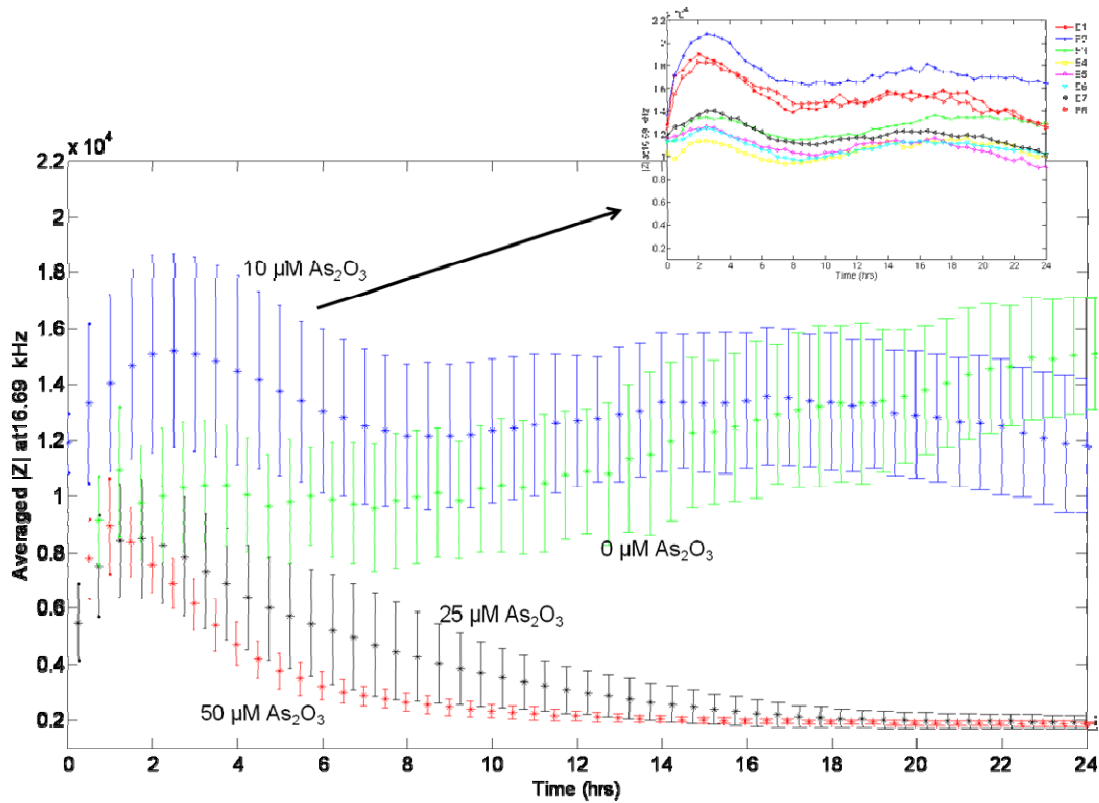


Figure 6-4: Averaged  $|Z|$  vs. time at 16.69 kHz of HEY cells introduced to 0, 10, 25, and 50  $\mu\text{M}$   $\text{As}_2\text{O}_3$ . Measurements of 8 electrodes have been averaged and the standard deviation (error bars) are shown. (Inset) Shows individual impedances ( $|Z|$  vs. time) of the 8 independent electrodes within a single device ( $10\mu\text{M}$   $\text{As}_2\text{O}_3$ ). Location-specific variation is seen in the impedance data.

The data in the main plot confirms that the HEY cells were able to resist  $10\mu\text{M}$  of  $\text{As}_2\text{O}_3$  within the time frame shown; however, increased concentrations of  $\text{As}_2\text{O}_3$  affected the cells and ultimately the cells succumbed and started dying after 3 hours. The impedance of the cells exposed to  $10\mu\text{M}$  of  $\text{As}_2\text{O}_3$  increase above that of the control, suggesting that the cells formed tighter cell-cell junctions and stronger adherence to the substratum in an effort to resist the cytotoxic agent. Towards 24 hours, the impedance of the control ( $0\mu\text{M}$   $\text{As}_2\text{O}_3$ ) cells trended upwards, whereas the impedance of the cells exposed to  $10\mu\text{M}$   $\text{As}_2\text{O}_3$  began to trend downwards. The impedance of the control cells steadily increased as the cells adhered and spread onto the electrodes, and

subsequently piled on top of each other. The 10 $\mu$ M As<sub>2</sub>O<sub>3</sub>-treated cells began to succumb to the cytotoxic agent after 22 hours. Further analysis (not shown) demonstrated that the cells eventually died 40 hours after the introduction of 10 $\mu$ M As<sub>2</sub>O<sub>3</sub>.

Figure 6-5(a)-(d) shows microscopic images of the cells in the electrode devices, 24 hours after the cytotoxic agent was added. The 0 and 10 $\mu$ M As<sub>2</sub>O<sub>3</sub>-treated cells remained confluent on and around the electrode devices; whereas the 25 and 50 $\mu$ M As<sub>2</sub>O<sub>3</sub>-treated cells became detached (rounded cells).

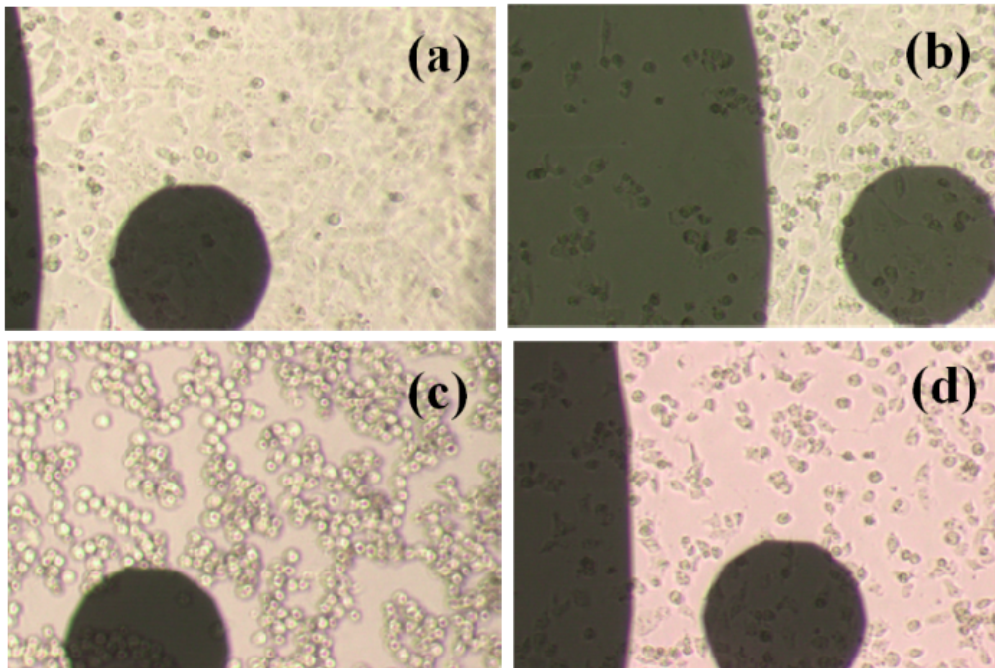


Figure 6-5: Microscopic images of cells 24 hours after the introduction of (a) 0, (b) 10, (c) 25, (d) 50 $\mu$ M of As<sub>2</sub>O<sub>3</sub>. Cells remained confluent in images (a) and (b); whereas the cells became detached (rounded cells) in images (c) and (d).

### 6.4.3 Modeling of Impedance Data

Thirty-two frequency-dependent datasets were obtained for each time point, resulting in thousands of datasets per experiment. The vast amount of data required automated

data collection and analysis, to facilitate rapid analysis. A Labview program was designed to collect and store the data and a MATLAB program was derived to analyze and model the data to extract system parameters. The MATLAB program used a complex nonlinear least squares (CNLS)-fitting algorithm to fit the data to an equivalent circuit in Figure 6-2(a)-(b). Figure 6-6 shows an example of the measured data overlaid by the modeled data in the form of a bode plot. The equivalent circuit parameters that describe the system are shown underneath the plot.

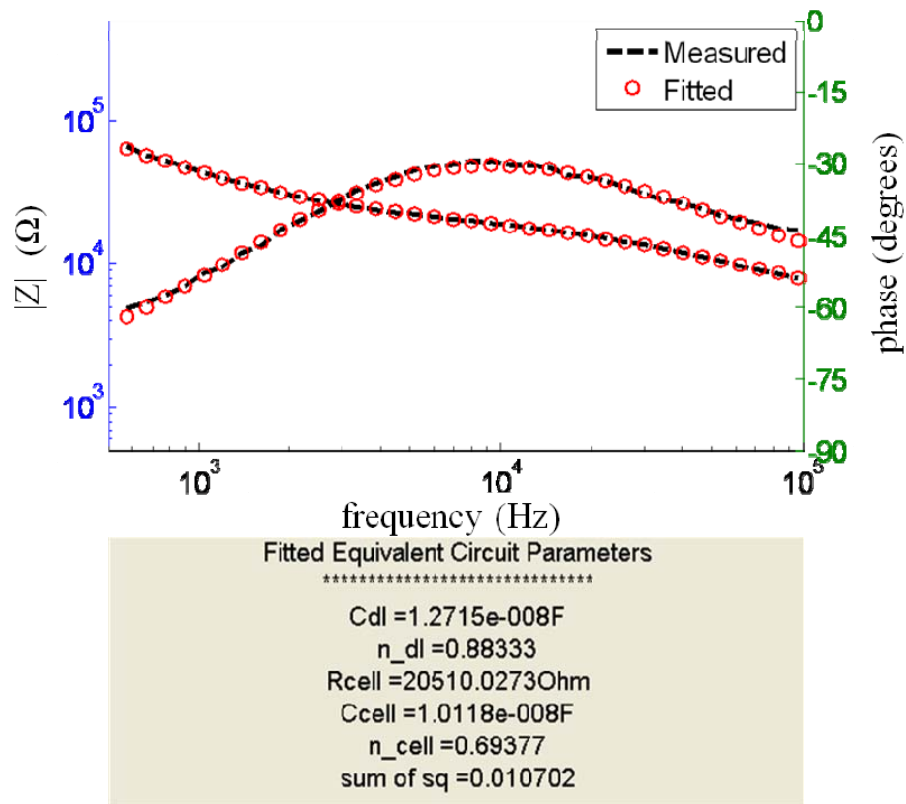


Figure 6-6: Bode plot of measured and fitted data 12 hours after the introduction of 10 $\mu$ M of As<sub>2</sub>O<sub>3</sub> for a single electrode (Electrode #2). Equivalent circuit parameters are shown below the plot.

At low frequencies (<3 kHz), the bode plot exhibits electrode polarization impedance or double layer capacitance. At mid frequencies (>3 kHz and <20 kHz), cell resistance dominates. Above 20 kHz, cell capacitance dominates. Since the impedance of the cell

capacitance and resistance is greater than the solution resistance up to 100 kHz, solution resistance cannot be modeled within this frequency range. Table 6-1 contains averages of the extracted system parameters using the equivalent circuits in Figure 6-2(a)-(b), 12 hours after the introduction of 0, 10, 25, and 50 $\mu$ M As<sub>2</sub>O<sub>3</sub>. The extracted parameters include solution resistance, R<sub>s</sub>; double layer capacitance, C<sub>dl</sub>; cell resistance, R<sub>cell</sub>; and cell capacitance, C<sub>cell</sub>. The sum of squared error (SSE) parameter in Table 6-1 describes the 'goodness of the fit' (the smaller the number, the better the fit). The average SSE value for all measurements was between 0.5 and 13.5%.

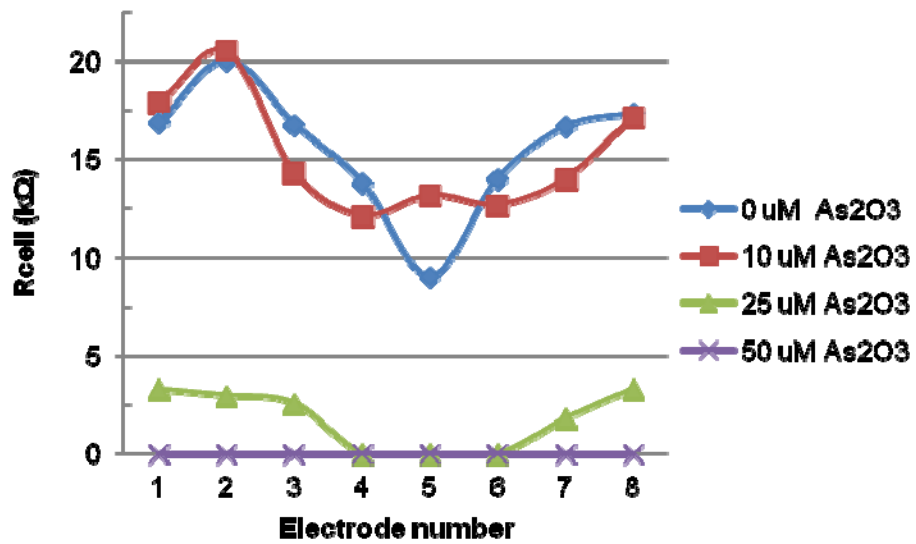
Table 6-1: Extracted system parameters (solution resistance, R<sub>s</sub>; double layer capacitance, C<sub>dl</sub>; cell resistance, R<sub>cell</sub>; cell capacitance, C<sub>cell</sub>; and sum squared error, SSE) 12 hours after the introduction of 0, 10, 25, 50 $\mu$ M of As<sub>2</sub>O<sub>3</sub> (averages and standard deviations).

	R <sub>s</sub> (k $\Omega$ )	C <sub>dl</sub> (nF)	ndl	R <sub>cell</sub> (k $\Omega$ )	C <sub>cell</sub> (nF)	n <sub>cell</sub>	SSE (x10 <sup>-2</sup> )
<b>0<math>\mu</math>M</b>	-	8.09 $\pm$ 1.02	0.93 $\pm$ 0.01	15.59 $\pm$ 3.30	34.55 $\pm$ 45.59	0.66 $\pm$ 0.06	0.59 $\pm$ 0.11
<b>10<math>\mu</math>M</b>	-	16.86 $\pm$ 4.64	0.87 $\pm$ 0.03	15.24 $\pm$ 2.96	25.44 $\pm$ 13.55	0.64 $\pm$ 0.04	0.46 $\pm$ 0.31
<b>25<math>\mu</math>M</b>	1.10 $\pm$ 0.27	18.74 $\pm$ 5.14	0.87 $\pm$ 0.02	2.79 $\pm$ 0.63	66.76 $\pm$ 81.66	0.67 $\pm$ 0.08	8.32 $\pm$ 12.00
<b>50<math>\mu</math>M</b>	1.34 $\pm$ 0.13	14.26 $\pm$ 2.30	0.88 $\pm$ 0.02	-	-	-	13.10 $\pm$ 3.28

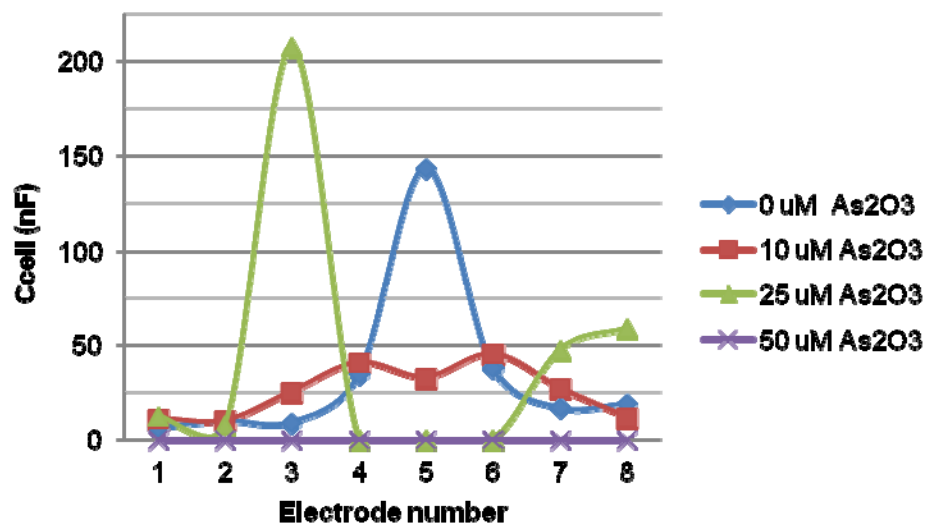
The average cell resistances and cell capacitances for the 0 and 10 $\mu$ M As<sub>2</sub>O<sub>3</sub>-treated cells were similar: R<sub>cell</sub><sub>0 $\mu$ M</sub> = 15.59k $\Omega$  and R<sub>cell</sub><sub>10 $\mu$ M</sub> = 15.24k $\Omega$ , and C<sub>cell</sub><sub>0 $\mu$ M</sub> = 34.55nF and C<sub>cell</sub><sub>10 $\mu$ M</sub> = 25.44nF. The average cell resistance for the 25 $\mu$ M As<sub>2</sub>O<sub>3</sub>-treated cells decreased (R<sub>cell</sub><sub>25 $\mu$ M</sub> = 2.79k $\Omega$ ), as cells began to detach from the surface, in contrast, the capacitance increased (C<sub>cell</sub><sub>0 $\mu$ M</sub> = 66.76nF). Some of the electrodes showed no cell presence, as the detachment of cells were more prominent.

Therefore, some electrodes within this device were modeled with the cell-free equivalent circuit. At 12 hours, the 50 $\mu$ M As<sub>2</sub>O<sub>3</sub>-treated cells showed no presence of adherent cells on the electrodes, as the majority of the cells detached from the electrode surface as a result of cell death.

In Figure 6-7(a), the electrodes in the 0 and 10  $\mu$ M As<sub>2</sub>O<sub>3</sub> wells show some location-specific variation, with an average cell resistance value close to 15 k $\Omega$ . This demonstrates that within certain areas of the cell culture, the cells had a stronger adherence to the substratum and each other than in other areas. Similarly, Figure 6-7(b) shows some variance in cell capacitance, though an outlier exists from electrode 5 in the 0  $\mu$ M As<sub>2</sub>O<sub>3</sub> well. The lower resistance and significantly higher capacitance of electrode 5 indicates that the cells did not adhere as well, and highlights the importance of making multiple measurements within a cell culture, to account for such variations. The 10  $\mu$ M As<sub>2</sub>O<sub>3</sub> well had similar properties to that of the control because the toxin had a negligible effect on the HEY cells at 12 hours. A difference, however, is seen in the double-layer capacitance (Table 6-1), which increased with the addition of As<sub>2</sub>O<sub>3</sub>. This increase occurred in all As<sub>2</sub>O<sub>3</sub>-containing cell cultures and could be attributed to the change in ion concentration with the addition of As<sub>2</sub>O<sub>3</sub> to the culture medium.



(a)



(b)

Figure 6-7: (a) Extracted cell resistance and (b) cell capacitance 12 hours after the introduction of 0, 10, 25, 50 μM of As<sub>2</sub>O<sub>3</sub> for each independent electrode within the four wells. (●-0 μM, ■-10 μM, ▲-25 μM, x-50 μM).

The well containing 25  $\mu\text{M}$   $\text{As}_2\text{O}_3$  showed apparent differences in cellular properties compared to the 0 and 10  $\mu\text{M}$   $\text{As}_2\text{O}_3$  wells. There was a heterogeneous reaction of the cells to the cytotoxic agent. At 12 hours, there was complete detachment of cells from some electrodes, and on other electrodes, cells remained loosely attached. This is indicated by the low resistance values in Figure 6-7(a) for the electrodes showing some cell presence. The low resistance values are a result of the cells detaching from the surface and reduced formation of cell tight junctions. As a result, the current can flow more easily underneath and between the cells, causing a decrease in resistance. When the majority of the cells are detached from the electrode, cell presence is not detected and the  $R_{\text{cell}}$  value is zero. The data from these electrodes are then modeled with the cell-free equivalent circuit (Figure 6-2(a)), which provides a more acceptable SSE value. The SSE value for the 25  $\mu\text{M}$   $\text{As}_2\text{O}_3$  well was higher than the 0 and 10  $\mu\text{M}$   $\text{As}_2\text{O}_3$  wells because it is harder to fit partially covered electrodes to the equivalent circuit model, which is most suitable for confluent cell layers [111]. Therefore, the high capacitance outlier of electrode 3 could be a result of a poor fit. The slightly higher capacitance values of electrodes 7 and 8 (10  $\mu\text{M}$   $\text{As}_2\text{O}_3$ ) are attributed to membrane folding (increased surface roughness) [114]. The 50  $\mu\text{M}$   $\text{As}_2\text{O}_3$  well has completely succumbed to the toxin at 12 hours, so the  $R_{\text{cell}}$  and  $C_{\text{cell}}$  values are zero for all electrodes, indicating complete cell detachment. All electrodes were modeled with the cell-free equivalent circuit.

## 6.5 Conclusion

A novel 8-electrode impedance system has been evaluated and the importance of multiple measurements within a cell culture has been demonstrated through monitoring the effect of  $\text{As}_2\text{O}_3$  on ovarian cancer cells. Impedance spectroscopy, a non-destructive, label-free technique, allowed continuous measurement of cellular properties over 24



hours, without adversely affecting the cells. The data illustrated that the non-uniform response of cells within a culture required the need for redundant measurements in order to obtain statistically-significant data, especially in drug discovery applications. High-throughput systems are vastly desirable in drug discovery, and these systems typically output large datasets. This work validated an impedance system that implemented automated and rapid data collection and analysis through the design and implementation of a switching circuit, Labview, and Matlab programs. This design can serve as a foundation for higher-level multiplexed systems with a greater number of independent electrodes and/or devices to obtain statistically-significant data for numerous applications.

## Chapter 7 Electrical Comparison of Healthy and Cancer Ovarian Cells

### 7.1 Introduction

Ovarian cancer is the 5<sup>th</sup> most common cancer among women, with approximately 22,000 new cases and over 15,000 deaths estimated in 2011 [19]. Treatment typically involves surgical debulking followed by chemotherapy (i.e. platinum and taxane-based agents used specifically for ovarian cancer treatment) [115], which often targets normal cells in addition to the abnormal cells. This leads to side effects such as hair loss, fatigue, and low blood cell counts. As a result, chemotherapeutic drugs require extensive characterization and validation before they can be used clinically. This can be a cumbersome task, as many variables are present when determining the drug's effectiveness, including concentration, time, cell line, and microenvironment.

Qualitative measurements (i.e. western blots, optical microscopy, biomarkers) used to identify and validate the effects of chemotherapeutic drugs are typically performed at standard intervals (such as 0, 3, 9, 18 hours) [116]. These intervals are chosen based on other published studies, predefined protocols, or trial-and-error. However, when a new cell line or drug is introduced, these intervals may not be optimal, i.e. a reaction occurring one hour after the introduction of a stimulant may be overlooked. Since qualitative methods are time consuming, labor intensive, and typically endpoint assays, it is not feasible to determine cell- or drug-specific time points through real-time monitoring. Additionally, it is unrealistic to perform qualitative measurements continuously. Impedance spectroscopy, on the other hand, offers a label-free, non-

destructive, quantitative measurement technique that can be used to continuously monitor cells. With real-time impedance measurements, cellular responses can be captured at numerous time points. Thus, when a reaction is observed, qualitative measurements can be focused at that specific time point(s). Therefore, it is hypothesized that impedance monitoring performed prior to qualitative studies can (1) determine the optimum time points for measurements, (2) reduce the number of qualitative measurements needed to obtain relevant data, and (3) reduce the amount of time and expensive reagents needed to complete an experiment.

In impedance spectroscopy measurements, a small AC electrical signal is passed and measured between a pair of electrodes. The technique uses a small gold electrode (250  $\mu\text{m}$  in diameter) that can measure approximately 50 to 100 cells, dependent on cell-type. When cells attach and spread onto the surface of the electrode, the measured impedance of the system increases because the insulating cell membranes block current flow. Thus, cell viability, death, and micromotion can be detected with high sensitivity [2, 6, 8, 74]. This technique is commonly referred to as electric cell-substrate impedance sensing (ECIS). Giaever and Keese pioneered the ECIS technique [108] and developed it into a commercial system (available through Applied Biophysics) that allows real-time impedance measurements inside a humidified incubator.

This chapter replicates a recently reported qualitative study [116] to understand the effects of arsenic trioxide ( $\text{As}_2\text{O}_3$ ) on both T80 (normal) and HEY (cancer) ovarian cells. There are only a few reports that investigate specifically T80 and HEY cells [117-119], comparing their response to chemotherapeutic drugs (normal versus cancer cells). There is one known impedance study using HEY cells [120] in which impedance was measured to monitor the invasive potential of ovarian cancer cells into a peritoneal

mesothelial cell monolayer by quantifying the timing and extent of invasion. In particular, they emphasize the advantages of the impedance measurement system, including high sensitivity and the ability to follow real-time changes (as opposed to endpoint assays) [120]. Herein, we performed continuous impedance measurements on normal and cancer ovarian cells to determine if biologically significant information could be obtained at time points other than the standard intervals used previously [116]. In addition, a quantitative comparison of the impedance signatures of the T80 and HEY cells was performed to show that the cells could be differentiated based on their electrical properties.

## **7.2 Methods and Materials**

### **7.2.1 Cell Culture**

HEY ovarian carcinoma cells and T80 (large T antigen/hTERT immortalized normal ovarian surface epithelial cells) were kindly provided by Dr. Gordon Mills (MD Anderson Cancer Center, Houston, Texas). Both cell lines were cultured in RPMI 1640, supplemented with 8% FBS and penicillin/streptomycin. The cells were maintained in a humidified 37°C incubator containing 95% air and 5% CO<sub>2</sub>. For all experiments, cells were detached from flasks with trypsin-EDTA solution and plated into the ECIS chamber at a concentration of 300,000 cells/mL prior to any treatment with drugs. Arsenic trioxide (As<sub>2</sub>O<sub>3</sub>) was obtained from Sigma-Aldrich. Cells were treated with varying doses of As<sub>2</sub>O<sub>3</sub> (0-50 μM).

### **7.2.2 Impedance Measurements**

The ECIS® Zθ system, provided by Applied Biophysics [41], was used to perform all impedance measurements. The system supplies a small AC current between 64 Hz and 64 kHz across the electrodes and performs continuous measurements of the cell

cultures while inside an incubator. 8W1E electrodes arrays (8-well plates with a single 250  $\mu\text{m}$ -diameter working electrode) from Applied Biophysics were used for all experiments. Figure 7-1 shows an image of the device, provided by Applied Biophysics.

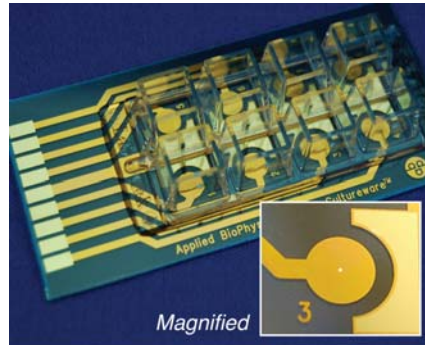


Figure 7-1: Photograph of ECIS 8W1E device (Applied Biophysics)

Three independent experimental designs were conducted. In the first experimental design (Experiment 1), T80 and HEY ovarian cells were seeded into two 8W1E devices. The cells were allowed to settle and adhere to the electrodes for approximately eight hours prior to the introduction of  $\text{As}_2\text{O}_3$ .  $\text{As}_2\text{O}_3$  was then added directly to the existing medium. All treatments were performed in duplicates (replicate wells) as follows: (1) two wells served as the controls (in the absence of  $\text{As}_2\text{O}_3$ , 0  $\mu\text{M}$ ); (2) two wells contained 10  $\mu\text{M}$  of  $\text{As}_2\text{O}_3$ ; (3) two wells contained 25  $\mu\text{M}$  of  $\text{As}_2\text{O}_3$ ; and (4) two wells contained 50  $\mu\text{M}$  of  $\text{As}_2\text{O}_3$ . Impedance measurements commenced immediately after the introduction of  $\text{As}_2\text{O}_3$ , and continued for up to 20 hours following treatment. In the second experimental design (Experiment 2), the setup was similar to Experiment 1 with the exception of  $\text{As}_2\text{O}_3$  being added via a change in cell culture medium. In the third experimental design (Experiment 3), the cells were allowed to settle and adhere for 24 hours prior to the addition of  $\text{As}_2\text{O}_3$ , which was similarly added via a change in medium.

### 7.3 Results and Discussion

Impedance responses of the T80 (normal) and HEY (cancer) cells to the cytotoxic effects of  $As_2O_3$ , a pro-death agent used in the clinic to treat patients with acute promyelocytic leukemia (APL), were assessed via use of the ECIS system. Three different experimental designs (as described in the Materials and Methods section) were evaluated to investigate the effects of medium change and confluence on cellular responses to the cytotoxic agent. The results are displayed in graphical format as changes in impedance across time (Figure 7-2 through Figure 7-4) after the introduction of  $As_2O_3$  to the cell cultures.

#### 7.3.1 Experiment 1: No Culture Medium Change

Figure 7-2(a) shows the results of Experiment 1 for T80 and HEY cells, respectively. The curves are averages of the 2 wells, with error bars, displayed as standard deviations. Due to occasional leakage or electrical disconnects, some data from the duplicate well was not collected; in this regard, data from single wells is displayed without error bars. Based on the impedance measurements shown in Figure 7-2(a), the impedance of the T80 control cells (0  $\mu M$ ) gradually increased from 4 to 8.5 k $\Omega$  over the 20 hour time frame. This increase is attributed to the cells adhering and spreading on the electrode surface. Cell membranes are insulating and thus block current flow as it travels from one electrode to another. Current is subsequently forced to travel between the tight-junctions of the cells or between the cell and substratum, typically nanometer-sized gaps. As cells continue to spread onto the electrode, these gaps become less prominent and measured impedance continues to increase over time. T80 cells treated with 10  $\mu M$   $As_2O_3$  appeared to resist the effects of  $As_2O_3$  for a longer time period (approximately 5 hours) and underwent complete cell death beyond 20 hours.

In contrast,  $As_2O_3$  at a concentration of 25 and 50  $\mu M$  had the most detrimental effects on the normal T80 cells with a marked effect on cell viability a little more than one hour following the addition of the cytotoxic agent.

In contrast to the normal T80 cells, the HEY ovarian carcinoma cells (in Figure 7-2(b)) appeared to be more resilient to the cytotoxic effects of  $As_2O_3$ . Within the first two hours, the impedance of the  $As_2O_3$  treated HEY cells sharply increased above that of the control. On average, the impedance of the  $As_2O_3$  treated cells nearly doubled that of the control. We propose that this could be attributed to the formation of tighter cellular junctions between the cells with increased substrate adherence leading to resistance to the detrimental cytotoxic effects of  $As_2O_3$ . The HEY cells treated with 25 and 50  $\mu M$   $As_2O_3$  underwent cell death after 4-5 hours of treatment, whereas the HEY cells were completely resistant to the effects of 10  $\mu M$   $As_2O_3$ . The impedance of the HEY control cells (0  $\mu M$ ) gradually increased from 3 to 4  $k\Omega$  over the 20 hour time frame. The overall impedance of the HEY cells was less than that of the T80 cells. The cause of this impedance difference is discussed later on in this section.

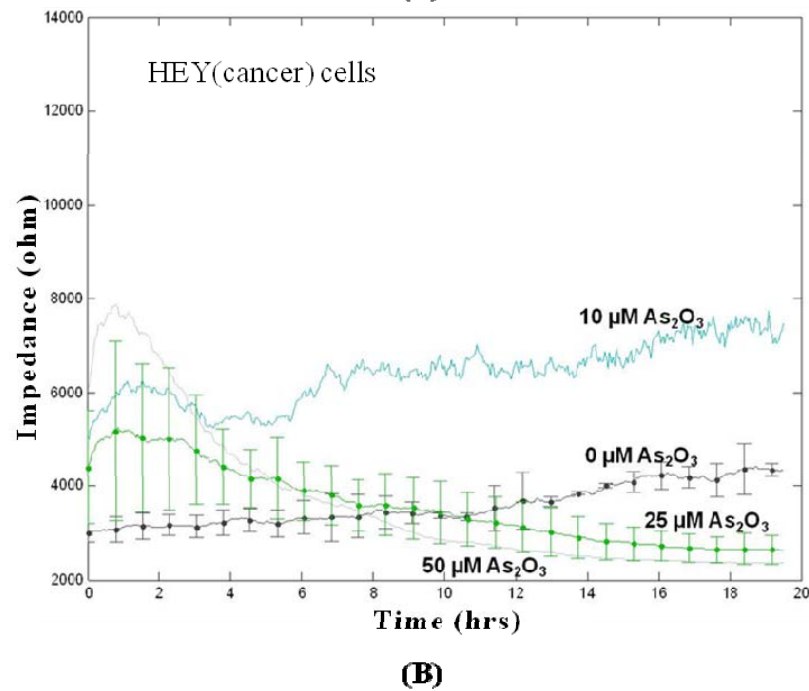
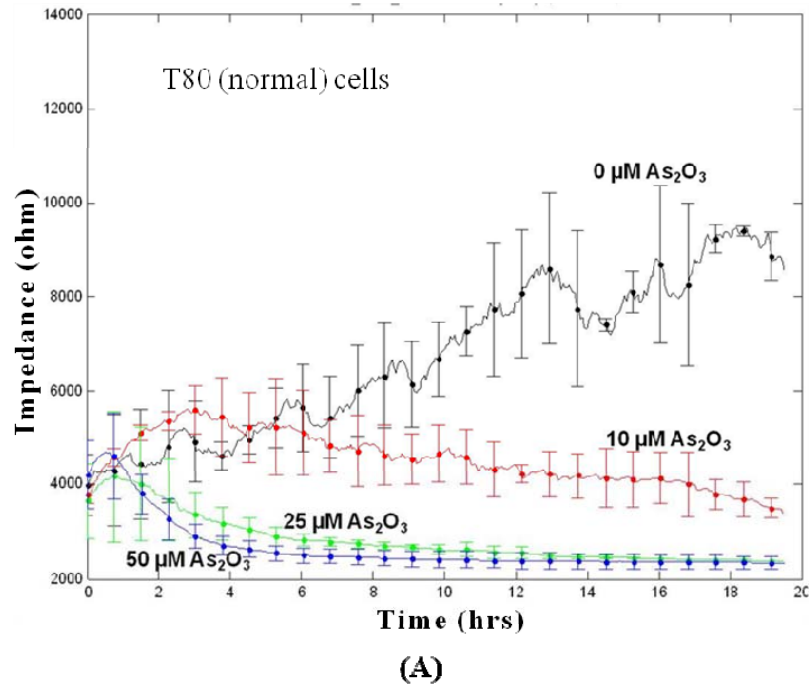


Figure 7-2: Experiment 1- Impedance vs. time plots of (A) T80 and (B) HEY cells, where  $As_2O_3$  was added to existing medium 8 hours after seeding cells. All concentrations of the cytotoxic agent had an effect on the T80 cells; more so the 25 and 50  $\mu M$  than the 10  $\mu M$   $As_2O_3$ . On the contrary, the HEY were able to resist the 10  $\mu M$   $As_2O_3$ ; however, the cells did succumb to the 25 and 50  $\mu M$ .



### 7.3.2 Experiment 2: Culture Medium Change

In Experiment 2,  $\text{As}_2\text{O}_3$  was added to the T80 and HEY cells through a change in culture medium. The impedance trends (Figure 7-3(a-b)) were similar to that of Experiment 1, with minor differences. One of the differences is noticed between the control (0  $\mu\text{M}$ ) cells. When the medium was not changed on the T80 cells (Figure 7-2(a), 0  $\mu\text{M}$   $\text{As}_2\text{O}_3$ ), the impedance gradually and consistently increased over time; however, when the medium was replaced (Figure 7-3(a), 0  $\mu\text{M}$   $\text{As}_2\text{O}_3$ ), the impedance remained nearly constant for the initial seven hours, and then it gradually increased thereafter. We hypothesize that these changes could be due to removal of non-adherent cells in conjunction with a lengthened time for the cells to adhere across the entire electrode surface thus resulting in a delayed increase in impedance. The influence of the  $\text{As}_2\text{O}_3$  on the T80 cells is similar in both Experiments 1 and 2. On the contrary, 10  $\mu\text{M}$   $\text{As}_2\text{O}_3$  had a greater effect on the HEY cells that experienced a medium change. This suggests that the medium change resulted in less cell presence and thus reduced formation of tight-junctions and reduced cell-cell communication to fend off the cytotoxic agent.

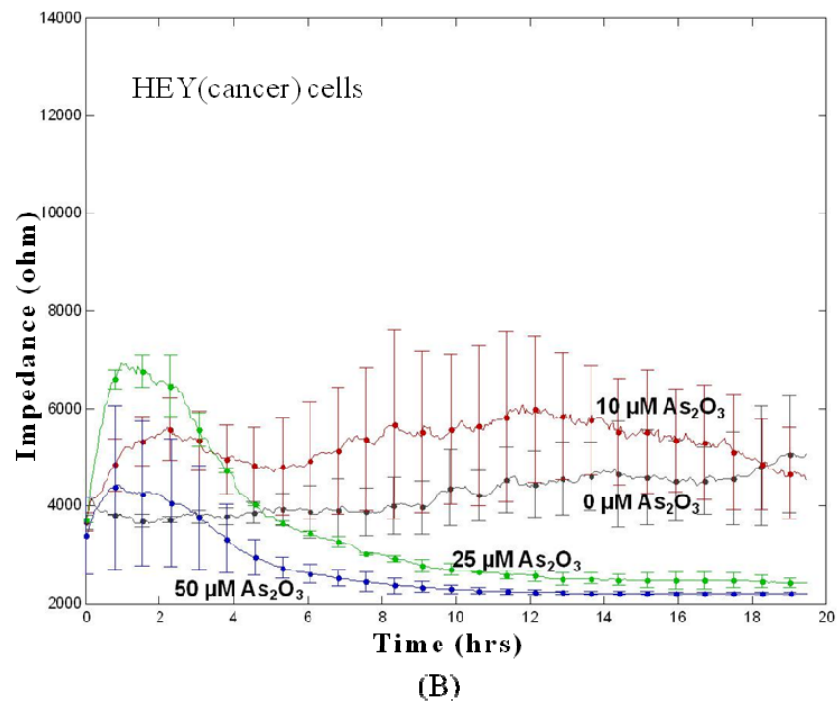
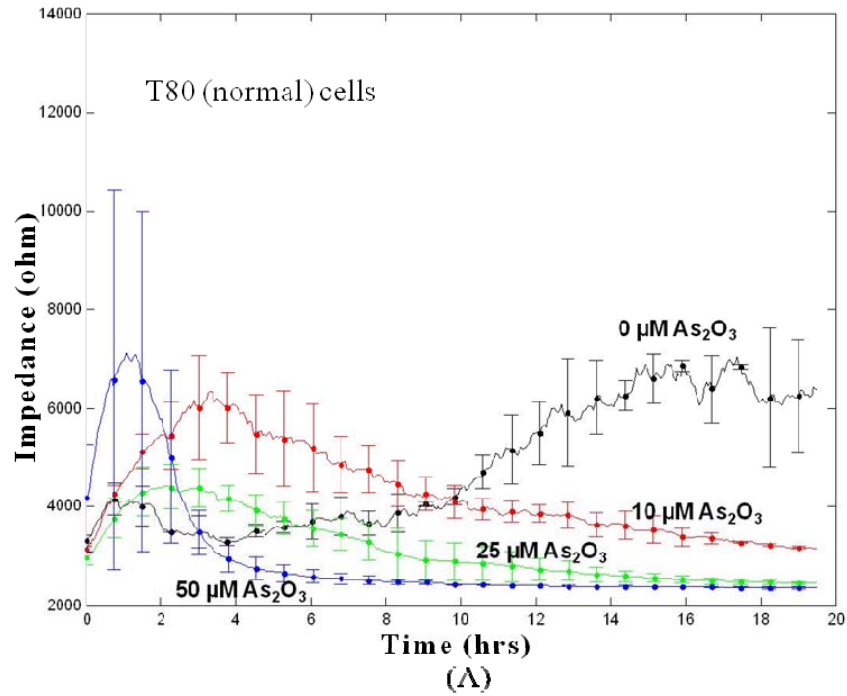


Figure 7-3: Experiment 2- Impedance vs. time plots of (A) T80 and (B) HEY cells from Experiment 2, where  $As_2O_3$  was added through a medium change 8 hours after seeding cells. Different from Experiment 1, when the medium was changed, non-adherent cells were removed, resulting in a delayed increase in impedance.

### 7.3.3 Experiment 3: Increased Cell Confluence

In Experiment 3 in which the cells settled and adhered for 24 hours prior to the introduction of  $\text{As}_2\text{O}_3$  (Figure 7-4(a-b)), the cells formed a completely confluent layer across the electrodes prior to treatment with the cytotoxic agent. The higher impedance values of Experiment 3, compared to Experiments 1 and 2, signify that the cells are confluent and even forming multiple layers. The impedance data indicates that increased cellular confluence before cytotoxic agent treatment allows the cells to become more resistant to the  $\text{As}_2\text{O}_3$ . This in part was due to the cells forming confluent, multiple layers, stronger cell-cell tight junctions, and potentially more signaling pathways. This is consistent with studies indicating that 3D cell cultures can resist cytotoxic agents better than 2D cultures[121], due to the complex mechanical and biochemical interactions of 3D cell cultures.

The impedance of the T80 cells with 10  $\mu\text{M}$  of  $\text{As}_2\text{O}_3$  initially spiked, and then gradually decreased. However, 20 hours after the addition of  $\text{As}_2\text{O}_3$ , there were still some viable cells present, as seen by the micromotion or small fluctuations in the impedance. The impedance of the T80 cells with 25  $\mu\text{M}$  of  $\text{As}_2\text{O}_3$  increased sharply immediately after its addition; however, in contrast to the 10  $\mu\text{M}$  concentration, the impedance quickly dropped after six hours. The cells were unable to resist the higher concentrations of  $\text{As}_2\text{O}_3$  and complete cell death occurred around 15 hours. Similarly, the impedance of the 50  $\mu\text{M}$   $\text{As}_2\text{O}_3$ -treated T80 cells sharply increased within the first hour, though quickly decreased within two hours, and complete cell death followed shortly thereafter.

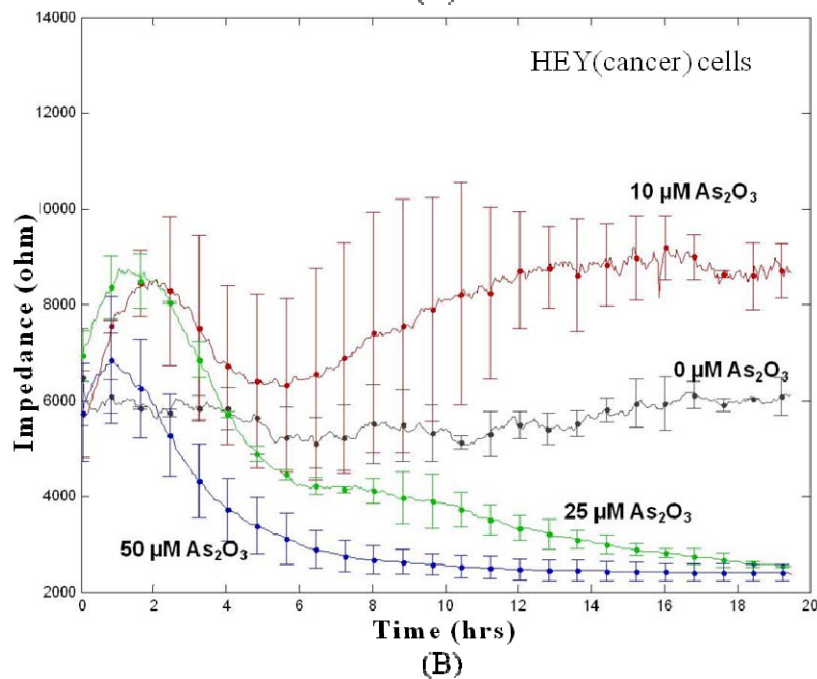
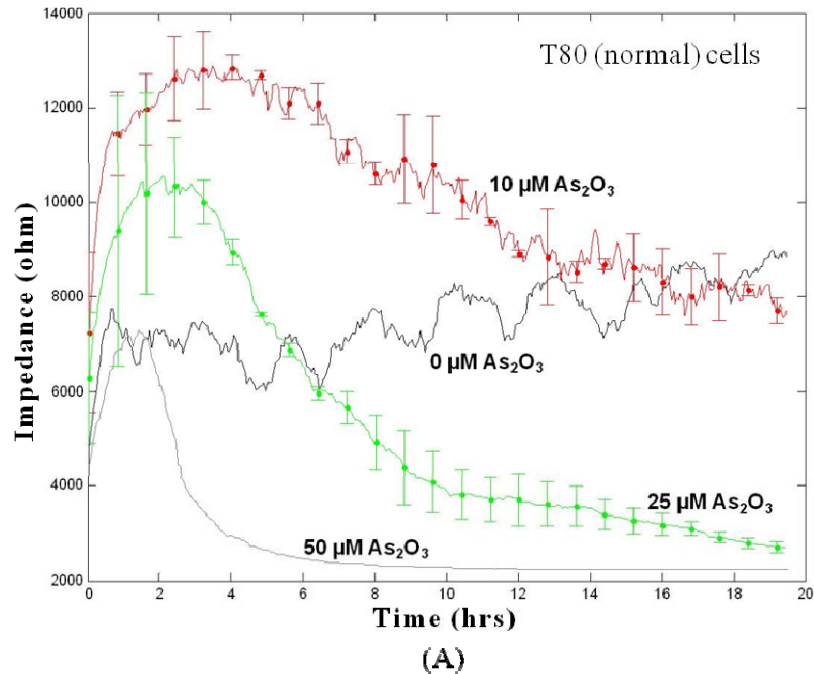


Figure 7-4: Experiment 3- Impedance vs. time plots of (A) T80 and (B) HEY cells from Experiment 3, where  $As_2O_3$  was added through a medium change 24 hours after seeding cells. Both cell lines were less sensitive to the lower concentrations of the cytotoxic agent, compared to Experiments 1 and 2. This is attributed to the cells forming confluent multi-layers, resulting in stronger tight-junctions and substratum adherence, and thus an increased resist to the  $As_2O_3$ . The initial impedance spikes within the first 2 hours also validate that the cells are developing a stronger adherence to resist the cytotoxic agent.

The effect of the  $\text{As}_2\text{O}_3$  on the HEY cells was similar to that of the T80 cells; however, the main difference is that the 10  $\mu\text{M}$   $\text{As}_2\text{O}_3$  –treated cells were able to resist the cytotoxic agent. The impedance of these cells was greater than that of the control, indicating that stronger adhesions and tight-junctions were formed. Microscopic images of the cells treated with 0, 10, 25, and 50  $\mu\text{M}$  of  $\text{As}_2\text{O}_3$  after 24 hours is shown in Figure 7-5.

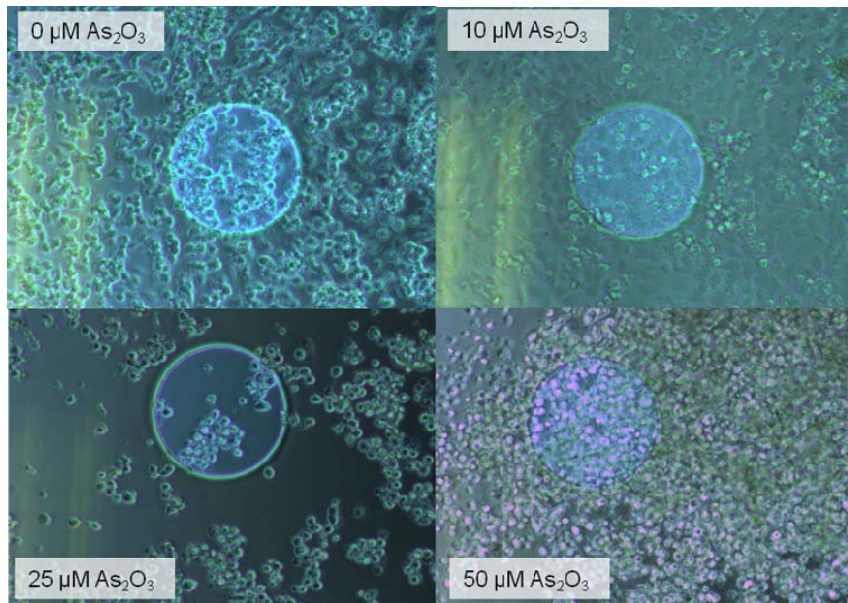


Figure 7-5: Microscopic images of HEY cells 24 hours after the addition of 0, 10, 25, and 50 $\mu\text{M}$  of  $\text{As}_2\text{O}_3$ . Complete cell death is seen in the wells containing 25 and 50 $\mu\text{M}$  of  $\text{As}_2\text{O}_3$ ; whereas cells treated with 0 and 10 $\mu\text{M}$  of  $\text{As}_2\text{O}_3$  remain mostly adherent.

Table 7-1: Significant time points from Experiments 1, 2, and 3 for the T80 and HEY cells

	T80	HEY
	Significant time points (hours)	Significant time points (hours)
Exp 1	1, 3, 5	1, 8
Exp 2	1, 4, 10	2, 5, 19
Exp 3	1, 5, 15	1, 6, 16

The time points in which significant events occurred with the ovarian cells are highlighted in Table 7-1. The times were chosen based on changes observed in the impedance vs. time plots. For example, the key time points from Experiment 3 (T80 cells) were (a) 1, (b) 5, and (c) 15 hours. At these points, (a) the initial spike occurred, (b) the cells containing 50  $\mu\text{M}$   $\text{As}_2\text{O}_3$  die off and detach from the electrode, and (c) then the cells containing 25  $\mu\text{M}$   $\text{As}_2\text{O}_3$  begin to die and the impedance of the 10  $\mu\text{M}$  began to decrease below that of the control. The time points varied with cell type, confluence (cell-substratum adherence and cell-cell tight junctions), and drug concentration, demonstrating the importance of pinpointing unique time frames for different cell types and drug concentrations. To obtain more insight into the underlying mechanisms of these observed changes, biological assays can be performed at these personalized times.

Light microscopic images (Figure 7-6(a-d)) of cells treated with  $\text{As}_2\text{O}_3$  within the initial 2-hour time frame were captured to determine whether observable physical changes were occurring to the cells' morphology, which could likely correlate with the increased impedance measured during this time initial time frame (see Figure 7-2



through Figure 7-4).  $\text{As}_2\text{O}_3$  (25  $\mu\text{M}$ ) was added to HEY cells 24 hours following seeding. Images were captured at 0, 1, 1.5, and 2 hours following the introduction of the cytotoxic agent. The images demonstrate that the morphology of the cells changed from an elongated shape to a more rectangular structure with clear protrusions along the cellular edges. These observable physical changes in the cells appear to correlate with the altered impedance within the same time period.

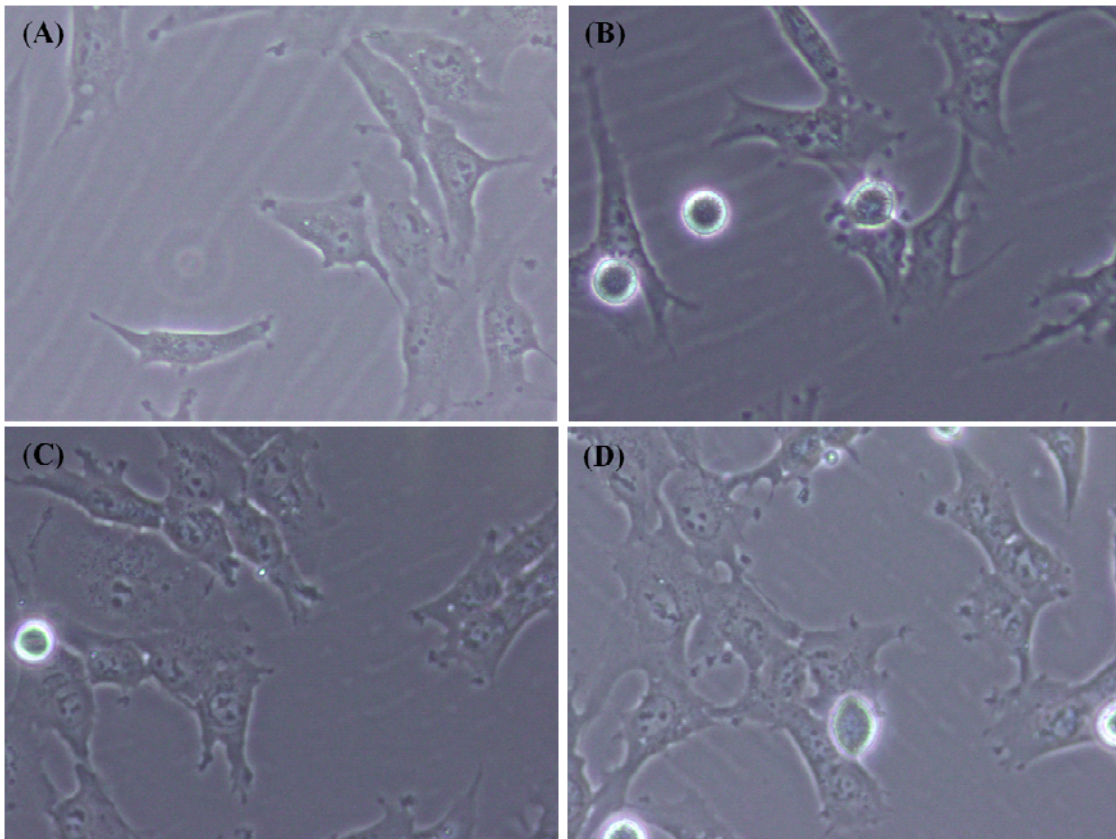


Figure 7-6: Microscopic images of HEY cells 0, 1, 1.5, and 2 hours after the introduction of 25  $\mu\text{M}$   $\text{As}_2\text{O}_3$ . The images illustrate the effect of the cytotoxic agent on the cells within 2 hours. The morphology of the cells changed from a more elongated shape to a more rectangular structure and there are additional protrusions along the edges of the cells.

### 7.3.4 Comparison of T80 and HEY Cell Impedance Signatures

The results of this work also demonstrated that normal and cancer ovarian cells can be quantitatively differentiated using impedance analysis. Figure 7-7 illustrates a difference in impedance between T80 and HEY cells from Experiment 1. After 20 hours of monitoring, the T80 cells had a greater overall impedance, and a smaller capacitance than the HEY cells, in all three experiments. The extracted impedances and capacitances of the T80 and HEY cells after 20 hours of monitoring are listed in Table 7-2.

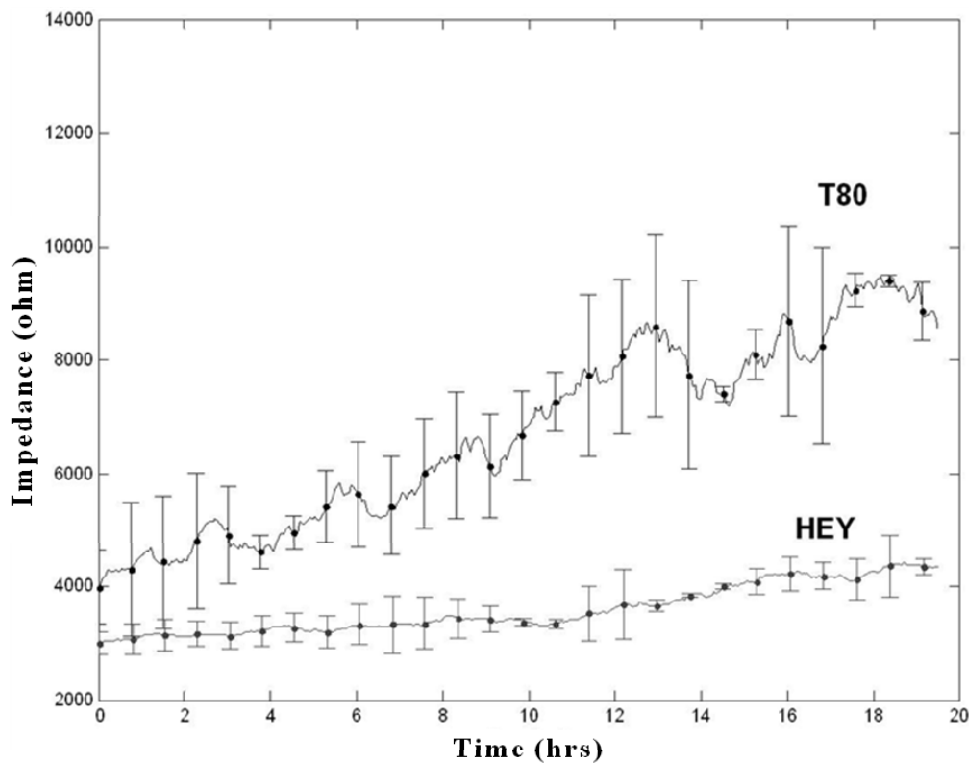


Figure 7-7: Comparison of the impedances of T80 and HEY ovarian cells from Experiment 1, 0  $\mu\text{M}$   $\text{As}_2\text{O}_3$ . Measurements commenced 8 hours after seeding cells and the cell culture medium was not changed. The impedance of the cells increased over time as the cells adhered and spread onto the electrodes; however the impedance of the normal T80 cells is greater than that of the HEY cells. This is attributed to a weaker adhesion of the HEY cells to the substratum because they are less contact inhibited.



Table 7-2: Impedances and capacitances of the T80 and HEY cells after 20 hours of monitoring, for the three experiments

	T80		HEY	
	Impedance (kΩ) at 16 kHz	Capacitance (nF) at 16 kHz	Impedance (kΩ) at 16 kHz	Capacitance (nF) at 16 kHz
Experiment 1	8	1.75	4	3.2
Experiment 2	6.5	2.5	4.5	3.25
Experiment 3	13	2	10	2.75

The impedances are notably higher in Experiment 3 because the cells adhered and spread for 24 hours prior to monitoring as opposed to 8 hours of adherence for Experiments 1 and 2. A cell membrane capacitance of  $1 \mu\text{F}/\text{cm}^2$  is generally accepted in literature[122]. In Experiment 3, the average cell capacitances of the T80 and HEY cells were  $4 \mu\text{F}/\text{cm}^2$  and  $5.6 \mu\text{F}/\text{cm}^2$ . These values are higher than the generally accepted value because they also incorporate properties of the entire cell layer(s), including trans-cellular junctions and folded cell walls [75]. It is hypothesized that the HEY cells have a higher capacitance per area because they are more likely to form multiple layers.

The higher impedance of the T80 cells over the HEY cells can be attributed to the smaller capacitance and a higher resistance, which results from stronger substratum-cell adhesion and cell-cell interactions. It is expected for cancer cells to have a weaker adhesion to the substratum because they are less contact inhibited. Zou and Guo [42] also suggests that due to increased cellular water and salt content, altered membrane permeability, and changed packing density, malignant tumors typically exhibit a lower electrical impedance.

This is consistent with our results presented herein in that the HEY cells have a higher capacitance, which translates to a lower impedance by the following definition:

$$Z = \frac{1}{j\omega C} \quad \text{Equation 7-1}$$

#### 7.4 Conclusion

This work demonstrates that impedance measurements may be a beneficial tool for optimizing drug-screening biological assays by pinpointing specific time points for functional assessments generating important data regarding (1) cell type, (2) confluence (time), and (3) drug concentrations. As a result, this eliminates excessive experimental trials needed to prior to the identification of optimal time points for biological measurements and obtaining relevant information with respect to cell-drug interactions. The impedance data indicates that 25 and 50  $\mu\text{M}$   $\text{As}_2\text{O}_3$  is detrimental to both the T80 and the HEY cells, leading to extensive cell death within a 6 hour period. In contrast, both cell lines treated with to 10  $\mu\text{M}$  of  $\text{As}_2\text{O}_3$  were able to resist its effects for a longer time frame. In addition, we noted that the normal T80 cells, as reported [116], were more sensitive to the  $\text{As}_2\text{O}_3$  than the ovarian cancer HEY cells. This was especially apparent in the cell cultures treated with 10  $\mu\text{M}$  of  $\text{As}_2\text{O}_3$ . The impedance of the T80 cells fell below that of the controls (0  $\mu\text{M}$   $\text{As}_2\text{O}_3$ ) faster than the HEY cells, which in some experiments did not succumb to the cytotoxic agent at 10  $\mu\text{M}$ . This variance in cell responses with different variables reveals the need for unique timestamps when studying cell-drug interactions. Therefore, we propose that measurements of impedance can be utilized to identify critical time points when investigating cell-drug interactions.

## Chapter 8 Conclusions and Future Work

This work has demonstrated the cycle of electrode optimization, design, fabrication, testing, and validation. An existing electrode design was modified to suppress the parasitic contribution of the passivation layer to allow measurements at higher frequencies. The design rule derived in this work was applied to the new design, which incorporated 8 independent working electrodes to allow multiple measurements within a cell culture chamber. In order to obtain statistically relevant data, acquisition of multiple data points in a cell culture is essential since cells may adhere, react, and behave slightly different in under various conditions and locations. The effect of arsenic trioxide ( $\text{As}_2\text{O}_3$ ) on ovarian cancer cells was continuously monitored inside of an incubator. A switching circuit and Labview program was developed to automate the measurements, and switch between the 8 electrodes on 4 separate devices. System parameters (solution resistance, double layer capacitance, cell resistance and membrane capacitance) were extracted using the equivalent circuit modeling technique. A MATLAB program was derived to perform a complex non-linear least squares (CNLS) algorithm to fit and visualize the large data sets.

Applied Biophysics' commercial ECIS system was used to validate the measurements performed by the 8-electrode system and compare the electrical properties of normal and cancer ovarian cells and their responses to  $\text{As}_2\text{O}_3$ . Similar trends were seen between the commercial and 8-electrode systems. The work performed on the commercial system demonstrated that the 25 and 50 $\mu\text{M}$  concentrations of  $\text{As}_2\text{O}_3$  were detrimental to both the T80 and the HEY cells. In contrast,

the cell lines were able to resist 10  $\mu\text{M}$  of  $\text{As}_2\text{O}_3$  for a longer period of time. The T80 cells, however, were slightly more sensitive to the  $\text{As}_2\text{O}_3$  than the HEY cells. When the cells settled and adhered for a longer period of time (24 hours) before adding the  $\text{As}_2\text{O}_3$ , they became more resilient to the drug.

Lastly, a comparison of the 2- and 4-electrode measurement configuration was performed to determine if one technique would be more sensitive to impedance changes than the other in a microfluidic system. It was found that measuring a change in resistance using the 4-electrode configuration was the most sensitive technique to detect the presence of *E. coli* at low frequencies in a flow focusing system. The 2-electrode technique showed a greater percent change in capacitance than the 4-electrode sensor because the cells were bound to the electrode surface and the 2-electrode configuration is more sensitive to changes at the electrode interface. The presence of the bacteria became less significant with increased focusing. This effect was attributed to the increased effect of the diffusion of ions out of the focused stream as the focused stream height decreased. If diffusion is properly controlled in order to prevent a loss in detection sensitivity using flow focusing and impedance measurements, the 4-electrode measurement configuration would be the more ideal to monitor changes in impedance.

A large percentage of cell research is focused on drug discovery and development. As technology advances, research is moving away from two-dimensional cell cultures to three-dimensional spheroids to reproduce in-vivo like behavior with more accuracy. 2D cultures are easy to maintain and provide valuable baseline information; however, they do not reproduce certain properties such as drug resistance and clonal dominance. Most tissue consists of distinct 3D spatial arrangements of cells in close contact and communication with each other. In particular, 3D architecture and communication with

extracellular matrix are essential to reproduce in-vivo like behavior. Various studies have shown that 3D spheroidal cell cultures demonstrate significantly more in-vivo like behavior than 2D cultures [123-125]. Yet, significant challenges still exist in using these models reliably in the laboratory or clinical setting. Monitoring of 3D spheroids using impedance spectroscopy has begun to take root within the past decade. Researchers including [126-128] have used impedance spectroscopy to perform toxicology studies and study changes in the physiological parameters of spheroids when exposed to various drugs.

This work has laid a foundation for 3D studies, as spatial resolution, statistically relevant data, the ability to distinguish between normal and cancer cells, and reduced measurement parasitic are all essential for electrically characterizing the effects of toxins and chemotherapeutic drugs on 3D spheroids. Fabrication of the electrode device on plastic, such as polypropylene, is encouraged for future work since cells, especially normal cells, are more conditioned to thrive on such substratum. Also, a more advanced graphical user interface (GUI) is suggested for easier data visualization and analysis.

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## About the Author

Dorielle Tucker Price graduated *summa cum laude* with her B.S. from Clark Atlanta University (2005) and earned her M.S. from USF (2007) in Electrical Engineering. She is a recipient of the NSF Graduate Research Fellowship, McKnight Doctoral Fellowship (Florida Education Fund), Ford Foundation Predoctoral Diversity Fellowship programs. She has several peer-reviewed journal and conference publications, including one obtained from her 2009 internship with the Naval Research Laboratory in Washington, DC, under the advisement of Dr. Frances Ligler. She has recently (Spring 2012) completed her doctoral degree in Electrical Engineering, within the Bio-MEMS and Microsystems group, at the University of South Florida.